Edgar Filing: COMMVAULT SYSTEMS INC - Form 3

COMMVAULT SYSTEMS INC Form 3 September 21, 2006 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address Person <u>*</u> CREDIT SUIS		g 2. Date of Ever Statement (Month/Day/Ye		3. Issuer Name COMMVA				LT]		
(Last) (Fin	rst) (Mic	ldle) 09/21/2006	09/21/2006		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
11 MADISON A	VENUE							•		
(Str	eet)			(Check	all applicable)		6. Individi	al or Joint/Group		
NEW YORK, I	,)		Director Officer (give title below	X10% Other /) (specify belo		Filing(Che _X_ Form f Person	ck Applicable Line) iled by One Reporting iled by More than One		
(City) (Sta	ate) (Zi	ip)	Fable I - N	on-Derivati	ive Securiti	es Bei	neficially	Owned		
1.Title of Security (Instr. 4)			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	rship	rect Beneficial		
Common Stock			12,184,687	7 (1)	Ι	See I	Footnotes	(1)(2)		
Reminder: Report on owned directly or ind	lirectly. Persons wi information	e for each class of secur ho respond to the co n contained in this fo	ellection of form are not	5	EC 1473 (7-02)				
	•	respond unless the alid OMB control nu	-	ays a						
Table	II - Derivativ	e Securities Beneficial	ly Owned (e.	g., puts, calls,	warrants, opt	ions, c	onvertible	securities)		
1. Title of Derivative (Instr. 4)	e Security	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities	nd Amount of Underlying e Security	4. Conversi or Exerc		Ownership form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)		

(Instr. 4)

Expiration Title

Date

Exercisable Date

Price of

Security

Amount or

Number of

Derivative

Derivative

Security:

Direct (D)

or Indirect

OMB APPROVAL OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per response... 0.5

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				Shares		(I) (Instr. 5)	
Series A Preferred Stock	(<u>3)</u>	(<u>3)</u>	Common Stock	4,000,000 (4)	\$ <u>(3)</u>	I (2) (4)	See Footnotes (2)(4)
Series B Preferred Stock	(<u>3)</u>	(3)	Common Stock	621,334 <u>(5)</u>	\$ <u>(3)</u>	I (2) (5)	See Footnotes (2)(5)
Series C Preferred Stock	(<u>3)</u>	(3)	Common Stock	666,666 <u>(6)</u>	\$ <u>(3)</u>	I (2) (6)	See Footnotes (2)(6)
Series D Preferred Stock	(<u>3)</u>	(3)	Common Stock	400,000 (7)	\$ <u>(3)</u>	I (2) (7)	See Footnotes (2)(7)
Series E Preferred Stock	(<u>3)</u>	(3)	Common Stock	400,000 (8)	\$ <u>(3)</u>	I (2) (8)	See Footnotes (2)(8)
Series AA Preferred Stock	(9)	(9)	Common Stock	299,510 <u>(9)</u>	\$ <u>(9)</u>	I (2) (9)	See Footnotes (2)(9)
Series BB Preferred Stock	(10)	(10)	Common Stock	413,225 (10)	\$ (10)	I (2) (10)	See Footnotes (2)(10)
Series CC Preferred Stock	(11)	(11)	Common Stock	935,969 (11)	\$ <u>(11)</u>	I (2) (11)	See Footnotes (2)(11)

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
CREDIT SUISSE/ 11 MADISON AVENUE NEW YORK, NY 10010	Â	X	Â	Â			

Signatures

/s/ Ivy Dodes for Credit Suisse, on behalf of the Investment 09/21/2006 Banking Division

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) See Footnote 1 on Exhibit 99.1
- (2) See Footnote 2 on Exhibit 99.1
- (3) See Footnote 3 on Exhibit 99.1
- (4) See Footnote 4 on Exhibit 99.1
- (5) See Footnote 5 on Exhibit 99.1
- (6) See Footnote 6 on Exhibit 99.1
- (7) See Footnote 7 on Exhibit 99.1

Reporting Owners

- (8) See Footnote 8 on Exhibit 99.1
- (9) See Footnote 9 on Exhibit 99.1
- (10) See Footnote 10 on Exhibit 99.1
- (11) See Footnote 11 on Exhibit 99.1

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Remarks:

See Exhibit 99.1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.