## Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

#### HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

March 06, 2006

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* DE RAISMES ANN M

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

5. Relationship of Reporting Person(s) to

Issuer

below)

HARTFORD FINANCIAL

SERVICES GROUP INC/DE [HIG]

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

03/04/2006

**Executive Vice President** 

THE HARTFORD FINANCIAL SERVICES GROUP, HARTFORD **PLAZA** 

(First)

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HARTFORD, CT 06115

(City)	(State) (2	Table	I - Non-De	erivative S	Securi	ities Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acr (A) or Disposed (Instr. 3, 4 and 2) (A) or Amount (D)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Restricted Stock Units			Code v	Amount	(D)	Filce	4,717.3203	D	
Restricted Stock							6,667	D	
Common Stock	03/04/2006		F(1)	1,382	D	\$ 82.03	9,287	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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8. Price Deriva Securit (Instr.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 56.375					(2)	07/21/2010	Common Stock	4,650
Stock Option	\$ 62.07					(3)	02/23/2011	Common Stock	9,612
Stock Option	\$ 65.85					<u>(4)</u>	02/23/2012	Common Stock	11,219
Stock Option	\$ 37.37					<u>(5)</u>	02/22/2013	Common Stock	19,052
Stock Option	\$ 65.99					<u>(6)</u>	02/22/2014	Common Stock	11,379
Stock Option	\$ 71.27					<u>(7)</u>	02/19/2015	Common Stock	7,656
Stock Option	\$ 83					(8)	02/15/2016	Common Stock	6,577

# **Reporting Owners**

Reporting Owner Name / Address				•	
	Director	10% Owner	Officer		Other

DE RAISMES ANN M THE HARTFORD FINANCIAL SERVICES GROUP HARTFORD PLAZA HARTFORD, CT 06115

**Executive Vice President** 

Relationships

Reporting Owners 2

## **Signatures**

/s/ Amanda Grabowski Aquino, POA for Ann M. de Raismes by Power of Attorney of Ann M. de Raismes dated February 19, 2004

03/06/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction involving a disposition to the Company of equity securities to satisfy tax withholding obligations in connection with the vesting of restricted stock.
- On July 19, 2000, the reporting person was granted an option to purchase 6,200 shares of common stock. The option vests in four annual installments, commencing December 31, 2000, subject to the satisfaction of certain performance criteria each year, as determined by the Issuer?s compensation committee. The performance criteria in each of 2000, 2001 and 2002 were met, resulting in vesting of the option as to 4,650 shares.
- (3) The option became fully exercisable as of February 21, 2004, the third anniversary of the grant date.
- (4) The option became fully exercisable as of February 21, 2005, the third anniversary of the grant date.
- (5) The option became fully exercisable as of February 20, 2006, the third anniversary of the grant date.
- One-third of the option became exercisable on February 18, 2005, an additional one-third of the option became exercisable on February 18, 2006 and the remaining one-third of the option will become exercisable on February 18, 2007, the third anniversary of the grant date.
  - The option becomes fully exercisable upon the later of: (i) the closing price of the Issuer's Common Stock on the New York Stock
- (7) Exchange reaches 125% of the grant price for at least 10 consecutive trading days or (ii) February 17, 2008 (three years from the grant date).
- The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 15, 2009, three years from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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