**ENGEL FERDINAND** 

Form 4 June 09, 2005

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Check this box

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ENGEL FERDINAND** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

10% Owner

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Symbol

CONCORD COMMUNICATIONS INC [CCRD]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title Other (specify below)

06/07/2005

C/O CONCORD COMMUNICATIONS, INC., 600

NICKERSON ROAD (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

**EVP Engineering & CTO** 

Applicable Line)

Director

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MARLBORO, MA 01752

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) (D) Amount

Transaction(s) (Instr. 3 and 4) Price

Common Stock

06/07/2005

\$ 17 D 43,320 (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisabl Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securit
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Employee Stock Option (Right to Buy)	\$ 19	06/07/2005		D	10,200	06/07/2005 <u>(2)</u>	10/29/2005	Common Stock	10,2
Employee Stock Option (Right to Buy)	\$ 19	06/07/2005		D	5,426	06/07/2005(3)	10/29/2005	Common Stock	5,4
Employee Stock Option (Right to Buy)	\$ 23.5	06/07/2005		D	3,125	06/07/2005(4)	04/29/2006	Common Stock	3,1
Employee Stock Option (Right to Buy)	\$ 23.5	06/07/2005		D	15,625	06/07/2005(5)	04/29/2006	Common Stock	15,0
Employee Stock Option (Right to Buy)	\$ 9.34	06/07/2005		D	2,500	06/07/2005 <u>(6)</u>	07/18/2009	Common Stock	2,5
Employee Stock Option (Right to Buy)	\$ 13.05	06/07/2005		D	1,148	06/07/2005(7)	11/14/2009	Common Stock	1,1
Employee Stock Option (Right to Buy)	\$ 13.05	06/07/2005		D	1,978	06/07/2005 <u>(8)</u>	11/14/2009	Common Stock	1,9
225)	\$ 9.01	06/07/2005		D	10,000	06/07/2005(9)	12/20/2010		10,0

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Employee Stock Option (Right to Buy)							Common Stock	
Employee Stock Option (Right to Buy)	\$ 9.01	06/07/2005	D	7,500	06/07/2005(10)	12/20/2010	Common Stock	7,5
Employee Stock Option (Right to Buy)	\$ 14.65	06/07/2005	D	7,500	06/07/2005(11)	10/08/2011	Common Stock	7,5
Employee Stock Option (Right to Buy)	\$ 14.65	06/07/2005	D	30,000	06/07/2005(12)	10/08/2011	Common Stock	30,0

## **Reporting Owners**

Reporting Owner Name / Address	ting Owner Name / Address			
	Director	10% Owner	Officer	Other

ENGEL FERDINAND

C/O CONCORD COMMUNICATIONS, INC.

600 NICKERSON ROAD MARLBORO, MA 01752 **EVP Engineering & CTO** 

### **Signatures**

/s/ Douglas A. Batt, Pursuant to a Power of Attorney 06/09/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposed of pursuant to the merger agreement between Concord Communications and Computer Associates in exchange for the right to receive \$17.00 per share
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 6,380 shares of Computer Associates' common stock for \$30.38 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- (3) This option was assumed by Computer Associates in the merger and replaced with an option to purchase 3,393 shares of Computer Associates' common stock for \$30.38 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer

Reporting Owners 3

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Associates' acquisition of Concord Communications

- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 1,954 shares of Computer Associates' common stock for \$37.57 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 9,773 shares of Computer Associates' common stock for \$37.57 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 1,563 shares of Computer Associates' common stock for \$14.94 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 718 shares of Computer
  Associates' common stock for \$20.87 per share. In accordance with the Amendment and Restated Management Change in Control
  Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer
  Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 1,237 shares of Computer Associates' common stock for \$20.87 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 6,255 shares of Computer Associates' common stock for \$14.41 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 4,691 shares of Computer Associates' common stock for \$14.41 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 4,691 shares of Computer Associates' common stock for \$23.43 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications
- This option was assumed by Computer Associates in the merger and replaced with an option to purchase 18,765 shares of Computer Associates' common stock for \$23.43 per share. In accordance with the Amendment and Restated Management Change in Control Agreement between Mr. Engel and Concord Communications, this option became fully vested upon the consumation of Computer Associates' acquisition of Concord Communications

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.