#### Edgar Filing: STREAMLINE HEALTH SOLUTIONS INC. - Form 3

STREAMLINE HEALTH SOLUTIONS INC.

Form 3 July 13, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STREAMLINE HEALTH SOLUTIONS INC. [STRM] Flynn James E (Month/Day/Year) 07/10/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 780 THIRD AVENUE, 37TH (Check all applicable) FLOOR,Â (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer \_X\_ Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Possible Member of 10% Group Person NEW YORK, NYÂ 10017 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Through Deerfield Special Common Stock 2,272,759 I Situations Fund, L.P. (1) (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|--|------------------------------------|---------------------------------|---|
|--|--|--|------------------------------------|---------------------------------|---|

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of or Indirect
Shares (I)

(Instr. 5)

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |                              |  |
|---|---------------|-----------|---------|------------------------------|--|
| reporting 6 wher Fund, Fundress   | Director      | 10% Owner | Officer | Other                        |  |
| Flynn James E<br>780 THIRD AVENUE, 37TH FLOOR<br>NEW YORK, NY 10017                           | Â             | ÂΧ        | Â       | Possible Member of 10% Group |  |
| Deerfield Mgmt L.P.<br>780 THIRD AVENUE<br>37TH FLOOR<br>NEW YORK, NY 10017                   | Â             | ÂX        | Â       | Possible Member of 10% Group |  |
| DEERFIELD MANAGEMENT CO<br>780 THIRD AVENUE, 37TH FLOOR<br>NEW YORK, NY 10017                 | Â             | ÂX        | Â       | Possible Member of 10% Group |  |
| Deerfield Special Situations Fund, L.P.<br>780 3RD AVENUE<br>37TH FLOOR<br>NEW YORK, NY 10017 | Â             | ÂX        | Â       | Â                            |  |

### **Signatures**

/s/ Jonathan Isler 07/13/2015

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 3 is being filed by the undersigned as well as the entities listed on the Joint filer Information Statement attached as an exhibit hereto (the "Reporting Persons").

Deerfield Mgmt L.P. is the general partner of Deerfield Special Situations Fund, L.P. (the "Fund"). Deerfield Management Company, L.P. is the investment manager of the Fund. James E. Flynn is the sole member of the general partner of each of Deerfield Mgmt, L.P. and Deerfield Management Company, L.P. In accordance with Instruction 5 (b)(iv) to Form 3, the entire amount of the Issuer's

securities held by the Fund is reported herein. For purposes of Section 16 of the Securities Exchange Act of 1934, each Reporting Person disclaims beneficial ownership of any such securities, except to the extent of his/its indirect pecuniary interest therein, if any, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of such securities for purposes of Section 16 or otherwise.

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#### **Remarks:**

Please see Joint Filer Information Statement attached as Exhibit 99Â hereto.

Jonathan Isler, signing as Attorney-in-Fact: Power of Attorney, incorporated by reference to Exhibit 24 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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