

Emmett Dan A  
Form SC 13G/A  
February 14, 2013

# **SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

## **SCHEDULE 13G**

**(Rule 13d-102)**

**INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT**

**TO RULES 13d-1(b), (c), AND (d) AND AMENDMENTS THERETO FILED**

**PURSUANT TO RULE 13d-2**

**(Amendment No. 5)\***

## **DOUGLAS EMMETT, INC.**

**(Name of Issuer)**

**Common Stock**

**(Title of Class of Securities)**

**25960P 10 9**

**(CUSIP Number)**

**December 31, 2012**

**(Date of Event Which Requires Filing of this Statement)**

## Edgar Filing: Emmett Dan A - Form SC 13G/A

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page. The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the *Notes*).

1 Names of Reporting Persons

Dan A. Emmett

2 Check the Appropriate Box if a Member of a Group (See Instructions)

(a) .. (b) ..

3. SEC Use Only

4. Citizenship or Place of Organization

USA

5 Sole Voting Power

13,903,441

6 Shared Voting Power

Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person  
With:

278,785

7 Sole Dispositive Power

13,903,441

8 Shared Dispositive Power

278,785

9 Aggregate Amount Beneficially Owned by Each Reporting Person

14,182,226\*

10 Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

..

11 Percent of Class Represented by Amount in Row (9)

9.1%

12 Type of Reporting Person (See Instructions)

IN

(\*) Consists of (A) an aggregate of 3,215,257 shares of Common Stock ( Shares ), of which the Reporting Person disclaims beneficial ownership of 315,750 shares owned by the Emmett Foundation, a California tax-exempt charitable organization, 72,000 Shares held in trusts for the Reporting Person s children, and, except to the extent of his pecuniary interest therein, 480,000 shares owned by Rivermouth Partners, a California limited partnership ( Rivermouth ); (B) an aggregate of 10,686,475 limited partnership interests ( OP Units ) of Douglas Emmett Properties, LP, a Delaware limited partnership, of which the Issuer is the general partner and which under certain conditions are redeemable for an equivalent number of Shares, of which the Reporting Person disclaims beneficial ownership of (i) 810,126 OP Units owned by trusts for the Reporting Person s spouse and children, and (ii) except to the extent of his pecuniary interest therein, 1,537,288 OP Units owned by Rivermouth; (C) 274,355 stock options exercisable within 60 days; and (D) 6,139 Long Term Incentive Plan Units ( LTIPs ) which within 60 days will be vested and under certain circumstances are redeemable for an equivalent number of Shares, at Issuer s election.

**Item 1(a).** Name of Issuer:  
Douglas Emmett, Inc.

**Item 1(b).** Address of Issuer's Principal Executive Offices:  
808 Wilshire Boulevard  
Suite 200  
Santa Monica, CA 90401

**Item 2(a).** Name of Persons Filing:  
Dan A. Emmett

**Item 2(b).** Address of Principal Business Office or, if none, Residence:  
808 Wilshire Boulevard  
Suite 200  
Santa Monica, CA 90401

**Item 2(c).** Citizenship:  
USA

**Item 2(d).** Title of Class of Securities:  
Common Stock

**Item 2(e).** CUSIP Number:  
25960P 10 9

**Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a)  Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o);
- (b)  Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c)  Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)  Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8);
- (e)  An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f)  An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g)  A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)  A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)  A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act (15 U.S.C. 80a-3);
- (j)  A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k)  Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

**Item 4. Ownership.**

(a) Number of Shares beneficially owned by Reporting Person: 14,182,226

(b) Percent of class: 9.1%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote 13,903,441

(ii) Shared power to vote or to direct the vote 278,785

(iii) Sole power to dispose or to direct the disposition of 13,903,441

(iv) Shared power to dispose or to direct the disposition of 278,785

Consists of (A) an aggregate of 3,215,257 shares of Common Stock ( Shares ), of which the Reporting Person disclaims beneficial ownership of 315,750 shares owned by the Emmett Foundation, a California tax-exempt charitable organization, 72,000 Shares held in trusts for the Reporting Person's children, and, except to the extent of his pecuniary interest therein, 480,000 shares owned by Rivermouth Partners, a California limited partnership ( Rivermouth ); (B) an aggregate of 10,686,475 limited partnership interests ( OP Units ) of Douglas Emmett Properties, LP, a Delaware limited partnership, of which the Issuer is the general partner and which under certain conditions are redeemable for an equivalent number of Shares, of which the Reporting Person disclaims beneficial ownership of (i) 810,126 OP Units owned by trusts for the Reporting Person's spouse and children, and (ii) except to the extent of his pecuniary interest therein, 1,537,288 OP Units owned by Rivermouth; (C) 274,355 stock options exercisable within 60 days; and (D) 6,139 Long Term Incentive Plan Units ( LTIPs ) which within 60 days will be vested and under certain circumstances are redeemable for an equivalent number of Shares, at Issuer's election.

**Item 5. Ownership of Five Percent or Less of a Class**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following "":

*Not applicable.*

**Item 6. Ownership of More than Five Percent on Behalf of Another Person.**

*Not applicable.*

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person**

*Not applicable.*

**Item 8. Identification and Classification of Members of the Group**

*Not applicable.*

**Item 9. Notice of Dissolution of Group**

*Not applicable.*

**Item 10. Certification**

*Not applicable.*

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 13, 2013

Date

/s/ Dan A. Emmett

Signature

Dan A. Emmett

Name/Title

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

*NOTE:* Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 240.13d-7 for other parties for whom copies are to be sent.

**Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)**