BULL KENNETH R

Form 4

December 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

See Instruction 1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person <u>*</u> BULL KENNETH R

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol FIVE BELOW, INC [FIVE]

12/06/2017

(Check all applicable)

C/O FIVE BELOW INC., 1818

(Street)

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director ____ 10% Owner ____ Officer (give title ____ Other (specify below)

MARKET STREET, SUITE 2000

4. If Amendment, Date Original

CFO & Treasurer

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting

Person

PHILADELPHIA, PA 19103

(City)	(State)	(Zip) Tabl	le I - Non-E	Derivative (Securi	ties Acqu	ired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	12/06/2017		Code V M	Amount 300	(D)	Price \$ 39.7	59,544	D	
Stock	12/00/2017		1,1	200	7.	Ψ 37.1	37,311	D	
Common Stock	12/06/2017		S	300	D	\$ 66	59,244	D	
Common stock	12/07/2017		M	10,950	A	\$ 39.7	70,194	D	
Common Stock	12/07/2017		M	4,696	A	\$ 38.71	74,890	D	
Common Stock	12/07/2017		S	15,646	D	\$ 66	59,244	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 39.7	12/06/2017		M	300	<u>(1)</u>	07/18/2023	Common Stock	300
Stock Option (right to buy)	\$ 39.7	12/07/2017		M	10,950	<u>(1)</u>	07/18/2023	Common Stock	10,950
Stock Option (right to buy)	\$ 38.71	12/07/2017		M	4,696	(2)	06/24/2024	Common Stock	4,696

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting o wher runner runners	Director	10% Owner	Officer	Other			
BULL KENNETH R C/O FIVE BELOW INC. 1818 MARKET STREET, SUITE 2000 PHILADELPHIA, PA 19103			CFO & Treasurer				
Signatures							
/s/ Maureen Mulligan, as Attorney-In-Fact R. Bull	for Kennet	:h	12/08/2017				
**Signature of Reporting Person			Date				

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options are fully vested and exercisable.
- (2) 50% of the option grant vested and became exercisable on June 24, 2016, 25% of the option grant vested and became exercisable on June 24, 2017 and the remaining 25% of the option grant will vest and become exercisable on June 24, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.