#### Edgar Filing: IMPAC MORTGAGE HOLDINGS INC - Form 4

#### IMPAC MORTGAGE HOLDINGS INC

Form 4 July 24, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

TOMKINSON JOSEPH R

1. Name and Address of Reporting Person \*

			IMPAC MORTGAGE HOLDINGS INC [IMH]			(Check all applicable)			
(Last)	` '	(Mo	3. Date of Earliest Transaction (Month/Day/Year)			X Director 10% OwnerX Officer (give title Other (specify below)			
19500 JAMBOREE ROAD			07/22/2013				Chairman, Chief Execut. & Dir.		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
IRVINE, CA 92612							Form filed by More than One Reporting Person		
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		C Year) (I	Transaction Code	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							769	D	
Common Stock							5,955	I	401K
Common Stock							1,130	I	IRA
Common Stock							121,061	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisal Expiration Date (Month/Day/Yea		7. Title and A Underlying Se (Instr. 3 and 4
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Incentive Stock Option (right to buy)	\$ 0.53					06/09/2010(1)	06/09/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 0.53					06/09/2010(1)	06/09/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.73					12/03/2011(2)	12/03/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 13.81					11/27/2013 <sup>(3)</sup>	11/27/2022	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 10.65					07/23/2014(4)	07/23/2023	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 5.39	07/22/2014		A	38,500	07/22/2015(5)	07/22/2024	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>rg</b>	Director	10% Owner	Officer	Other		
TOMKINSON JOSEPH R 19500 JAMBOREE ROAD	X		Chairman, Chief Execut. & Dir.			
IRVINE, CA 92612						

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### **Signatures**

Joseph R.

Tomkinson 07/22/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are fully vested.
- (2) These options are fully vested.
- (3) The awards vest equally annually in 1/2 increments beginning on November 27, 2013.
- (4) The awards vest annually in 1/3 increments beginning on July 23, 2014.
- (5) The awards vest annually in 1/3 increments beginning on July 22, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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