## Edgar Filing: FARMER BROTHERS CO - Form 4

FARMER E	BROTHERS CO							
Form 4								
December 1	2, 2013							
FORM	OMB APPROVAL							
	OMB 3235-0287 Number:							
Check the					Expires: January 31, 2005			
subject	if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							
Section		Estimated average burden hours per						
Form 4	or				response 0.5			
Form 5 obligation	0.00		16(a) of the Securities Excha	•				
may cor	Section 171		Utility Holding Company Ac					
See Inst		30(h) of the	Investment Company Act of	1940				
1(b).								
(Print or Type	Responses)							
(Thin of Type	responses)							
1. Name and	Address of Reporting	Person <sup>*</sup> 2. Iss	uer Name and Ticker or Trading	5. Relationship of l	Reporting Person(s) to			
	ORTENSIA R	Symbo		Issuer	· · · · · · · · · · · · · · · · · · ·			
			MER BROTHERS CO [FARM	[] [N	11 P 11 \			
(Last)	(First) (	Middle) 3. Date	of Earliest Transaction	(Check	all applicable)			
		,	n/Day/Year)	Director	10% Owner			
FARMER	BROS.CO., 2033		/2013	$X_ Officer (give )$				
SOUTH N	ORMANDIE AV	ENUE		below) VICE PRESIDI	below) ENT & CONTROLLER			
	(Street)	4 If A	mendment, Date Original	6 Individual or Ioi	nt/Group Filing(Check			
			Ionth/Day/Year)	Applicable Line)	6. Individual or Joint/Group Filing(Check Applicable Line)			
				_X_ Form filed by O				
TORRANO	CE, CA 90502			Form filed by Mo Person	ore than One Reporting			
(City)	(State)	(Zip) Te	able I - Non-Derivative Securities		or Reneficially Owned			
1.Title of	2. Transaction Date				6. 7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	- · · · · · · · · · · · · · · · · · · ·	d (A) 5. Amount of Securities	Ownership Indirect			
(Instr. 3)		any	Code (Instr. 3, 4 and 5)	Beneficially	Form: Beneficial			
		(Month/Day/Year	) (Instr. 8)	Owned Following	Direct (D) Ownership			
				Reported	or Indirect (Instr. 4) (I)			
			(A) or	Transaction(s)	(Instr. 4)			
				(Instr. 3 and 4)				
Common								
Stock,	12/11/2013		\$ \$ 390 <u>(1)</u> D 21.7	/137 8,821 <u>(3)</u>	D			
\$1.00 par	12/11/2013		$\begin{array}{cccccccccccccccccccccccccccccccccccc$	157 0,021 (**)	D			
value								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Relationships **Reporting Owner Name / Address** Officer Director 10% Owner GOMEZ HORTENSIA R FARMER BROS.CO. VICE PRESIDENT & CONTROLLER 20333 SOUTH NORMANDIE AVENUE TORRANCE, CA 90502 Signatures /s/ Thomas J. Mattei, Jr., Attorney-In-Fact for Hortensia R. 12/12/2013 Gomez \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares sold to cover tax obligations in connection with restricted stock that vested on December 9, 2013.

This transaction was executed in multiple trades at prices ranging from \$21.68 to \$21.75; the price reported above reflects the weighted
 (2) average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

(3) Includes a total of 4,015 shares (including 536 shares in fiscal 2013) allocated as of the reporting date to the reporting person pursuant to the Employee Stock Ownership Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Other