### Edgar Filing: GOMEZ HORTENSIA R - Form 4

GOMEZ HO	RTENSIA R										
Form 4	2012										
December 11										PPROVAL	
FORM		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										January 31, Expires: 2005 Estimated average burden hours per response 0.5	
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> GOMEZ HORTENSIA R			Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol FARMER BROTHERS CO [FARM]					5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle) 20333 SOUTH NORMANDIE AVENUE			3. Date of Earliest Transaction (Month/Day/Year) 12/07/2012					Director X Officer (give below)		6 Owner er (specify	
				ndment, Dat th/Day/Year)	-			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
TORRANCE	E, CA 90502							Form filed by M Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction 1 (Month/Day/Ye	ear) Execution any			Disposed	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/07/2012			A	635	A	<u>(1)</u>	8,675 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

#### 1. Title of 3. Transaction Date 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount of 8. I 2 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date Underlying Securities De Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4) Sec Price of (Month/Day/Year) (Instr. 8) (Instr. 3) Acquired (In Derivative (A) or Security Disposed of (D) (Instr. 3, 4, and 5) Amount or Date Expiration Title Number Exercisable Date of Shares Code V (A) (D) Stock Option Common (3) 12/07/2019 \$11.81 12/07/2012 Α 4,151 4,151 (Right to Stock Buy)

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
GOMEZ HORTENSIA R 20333 SOUTH NORMANDIE AVENUE TORRANCE, CA 90502			VICE PRESIDENT & CONTROLLER				
Signatures							
/s/ Jeffrey A Wabba							

/s/ Jeffrey A. Wahba, Attorney-In-Fact 12/11/2012

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Grant of restricted stock under the 2007 Omnibus Plan; 100% of the shares will vest on December 7, 2015, subject to acceleration provisions of the 2007 Omnibus Plan and restricted stock agreement.
- (2) Includes a total of 3,479 shares (including 425 shares in fiscal 2012) allocated as of the reporting date to the reporting person pursuant to the Employee Stock Ownership Plan.
- Grant of non-qualified stock option; the stock option vests pursuant to a three year vesting schedule, whereby one-third of the total number of shares issuable under the option becomes exercisable each year on the anniversary of the grant date, commencing on December 7, 2013, subject to acceleration provisions of the 2007 Omnibus Plan and stock option agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.