STEPAN F QUINN JR

Form 4 July 18, 2012

# FORM 4

### **OMB APPROVAL**

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### Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Addi STEPAN F QU		ing Person *	2. Issuer Name and Ticker or Trading Symbol STEPAN CO [SCL]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)		
			(Month/Day/Year)	X Director 10% Owner		
22 W. FRONTAGE RD.			07/16/2012	X Officer (give title Other (specify below)		
				President & CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
NORTHFIELI	O, IL 60093			_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/16/2012		Code V  M(1)	Amount 48,716	(D)	Price \$ 24.94	124,819.805	D	
Common Stock	07/16/2012		F <u>(1)</u>	33,057	D	\$ 95.21	91,762.805	D	
Common Stock							4,188.585	I	By ESOP II Trust
Common Stock							55,712	D (2)	
Common Stock							3,749	I	By Spouse

### Edgar Filing: STEPAN F QUINN JR - Form 4

Common Stock	17,179	I	By Children
Common Stock	37,488	I	By Self as Custodian for Children
Common Stock	1,200	I	By Spouse as Custodian for Children
Common Stock	30,257	I	By Family LLC (3)
Common Stock	24,000	I	By Family Trust (3)
Common Stock	7,500	I	By Family Trust II
Common Stock	17,464	I	By Family Trust III
Common Stock	309,917	I	Member of the Plan Committee of Stepan Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. N	umber of	6. Date Exerci	sable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDeri	vative	Expiration Date Underlying S		Securities	
Security	or Exercise		any	Code	Secu	rities	(Month/Day/Y	ear)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acqı	uired (A)				
	Derivative				or D	isposed of				
	Security				(D)					
					(Inst	r. 3, 4,				
					and :	5)				
							Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A)	(D)				of Share
Employee Stock Option (Right to	\$ 24.94	07/16/2012		M(1)		48,716	12/22/2004	02/09/2014	Common Stock	48,716

SEC 1474

(9-02)

Buy)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
STEPAN F QUINN JR 22 W. FRONTAGE RD. NORTHFIELD, IL 60093	X		President & CEO					

# **Signatures**

F. Quinn Stepan,

Jr.

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction completed pursuant to a 10b5-1 trading plan.
- (2) Joint Tenancy with Spouse.
- The Reporting Person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities for the purpose of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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