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TCW STRATEGIC INCOME FUND INC

Form 4

December 08, 2015

Common 12/07/2015

Stock

| FORM | Л Д | | | | | | | | OMB A | APPROVAL | |
|--|--|---|---------|-------------|---------------------------------------|--|-----------------|--|--|--|--|
| · | FORM 4 UNITED STATES SECURITIES AND EXCHANGE OF Washington, D.C. 20549 | | | | | | COMMISSION | OMB Number: | 3235-0287 | | |
| if no lor subject Section Form 4 Form 5 obligati may cor | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Rivelle Tac | Symbol TCW | uer Name a I STRATE D INC [TS | EGIC IN | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) TCW STR FUND, IN STREET, S | | of Earliest /Day/Year) /2015 | | on | | Director 10% Owner X Officer (give title Other (specify below) Portfolio Manager | | | | | |
| LOS ANG | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (State) | (Zip) | Ta | ble I - Non | ı-Derivati | ve Sec | curities Ac | quired, Disposed of | , or Beneficia | ally Owned | |
| 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution Date, if | | | | 4. Securi on(A) or D (Instr. 3, | ispose 4 and (A) or | ed of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | ties Ownership cially Form: I Direct (D) ring or Indirect ed (I) ction(s) (Instr. 4) | | |
| Common Stock | 10/26/2015 | | | P | 0 | A | \$ 0 <u>(1)</u> | 107,849 | I | Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse) | |
| Common stock | 11/03/2015 | | | P | 0 | A | \$ 0 (2) | 855,404 | D | | |

P

500 A \$ 5.235 179,025.7079 I

Charles

Schwab &

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| | | | | | | | | Co Acct for Chung-Ling K Tuan (spouse) |
|--|------------|---|-------|---|--------------|--------------|---|---|
| Common Stock | 12/07/2015 | P | 800 | A | \$ 5.2375 | 179,825.7079 | I | Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse) |
| Common Stock | 12/07/2015 | P | 4,643 | A | \$ 5.24 | 184,468.7079 | I | Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse) |
| Described Described a conservate line for each class of convities beneficially arread directly or indirectly | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | | 6. Date Exerc | cisable and | 7. Titl | le and | 8. Price of |
|-------------|-------------|---------------------|--------------------|---------|--------------|--------|------------------|-------------|--------------|-------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transa | ctionNum | ber | Expiration Da | ate | Amou | ınt of | Derivative |
| Security | or Exercise | | any | Code | of | | (Month/Day/ | Year) | Under | rlying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. | 3) Deriv | vative | | | Secur | ities | (Instr. 5) |
| | Derivative | | | | Secu | rities | | | (Instr. | . 3 and 4) | |
| | Security | | | | Acqu | iired | | | | | |
| | | | | | (A) o | or | | | | | |
| | | | | | Dispo | osed | | | | | |
| | | | | | of (D |)) | | | | | |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and | d 5) | | | | | |
| | | | | | | | | | | Amaunt | |
| | | | | | | | | | | Amount | |
| | | | | | | | Date | Expiration | T:41- | or Namel | |
| | | | | | | | Exercisable Date | | Number of | | |
| | | | | C- 1- | V (A) | (D) | | | | | |
| | | | | Code | V (A) | (D) | | | | Shares | |

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other 10% Owner Director

Rivelle Tad Portfolio Manager

TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA STREET, STE. 1800

2 Reporting Owners

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LOS ANGELES, CA 90017

Signatures

Patrick Dennis for Tad Rivelle by POA dtd 7/22/13

12/08/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction occurred in Common Stock owned indirectly by Reporting Person
- (2) No transaction occurred in Common Stock owned directly by Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3