CUBIC CORP /DE/

Form 4

October 22, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5 response...

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Add ZABLE WAL	*	ing Person *	2. Issuer Name and Ticker or Trading Symbol CUBIC CORP /DE/ [CUB]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Energy an approache)			
9333 BALBOA AVENUE			(Month/Day/Year) 10/20/2015	_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below)  Exec. Chairman of the Board			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
SAN DIEGO,	CA 92123		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

		reison								
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Ov								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	onDisposed o (Instr. 3, 4	f (D) and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/20/2015		Code V  S(1)	Amount 67,513	(D)	Price \$ 44.1 (2)	2,108,169	I	The Walter C. Zable Trust U/A/D 2/7/06 (4)	
Common Stock	10/22/2015		S <u>(1)</u>	118,000	D	\$ 44.3373	1,990,169	I	The Walter C. Zable Trust U/A/D	

2/7/06 (4)

#### Edgar Filing: CUBIC CORP /DE/ - Form 4

Common Stock	958	D	
Common Stock	229,297	I	Zable Survivors Trust (5)
Common Stock	187,370	I	Zable QTIP Marital Trust (5)
Common Stock	32,593	I	Reverse QTIP Marital Trust (5)
Common Stock	16,108	I	Zable NonQTIP Marital Trust (5)
Common Stock	164,229	I	Trusts for Reporting Person's Children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title Deriva Securi (Instr.	ative ity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

### **Reporting Owners**

Reporting Owner Name / Address
Director 10% Owner Officer Other

ZABLE WALTER C
9333 BALBOA AVENUE X X Exec. Chairman of the Board
SAN DIEGO, CA 92123

## **Signatures**

Angela L. Hartley, Attorney-in-fact for Walter C.

Zable 10/22/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were made pursuant to a 10b-5 trading plan adopted by the Reporting Person on May 21, 2015, for the purpose of diversification.
- (2) The share price noted represents the weighted average price per share, with sales ranging from \$44.00 to \$44.60.
- (3) The share price noted represents the weighted average price per share, with sales ranging from \$44.15 to \$44.77.
- (4) The Walter C. Zable Trust U/A/D for which the Reporting Person is Trustee.
- (5) The reported securities are owned by the named trusts of which the Reporting Person is co-trustee. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (6) The reported securities are held in 3 trusts for the Reporting Persons children, for which the Reporting Person is Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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