Edgar Filing: KOTZABASAKIS MANOLIS E - Form 4

KOTZABASAKIS MANOLIS E

Form 4 August 04, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

5 Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

OMB APPROVAL

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Jasuar Nama and Tiakar or Tradina

burden hours per response... 0.5

1(b).

(Print or Type Responses)

1 Name and Address of Reporting Person *

KOTZABAS	SAKIS MANOLI	S E Symbol ASPEN	Symbol ASPEN TECHNOLOGY INC /DE/ [AZPN]				Issuer (Check all applicable)			
	(First) (M N TECHNOLOGY VHEELER ROAD	(Month/D 08/02/20	ay/Year)				Director 10% Owner Sylvary Officer (give title Other (specify below) below) SVP, Sales & Business Dev.			
BURLINGT	(Street)		ndment, Dat th/Day/Year)	_			6. Individual or J Applicable Line) _X_Form filed byForm filed by Person		erson	
(City)	(State)	Zip) Table	e I - Non-Do	erivative S	ecurit	ies Acc	quired, Disposed o	of, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3, 4	sposed	of of Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	08/02/2010		A	40,200	A	\$ 0 (2)	111,943	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Option (Right to Buy)	\$ 10.93	08/02/2010		A	49,500	<u>(3)</u>	08/01/2020	Common Stock	49,500

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KOTZABASAKIS MANOLIS E C/O ASPEN TECHNOLOGY, INC. 200 WHEELER ROAD BURLINGTON, MA 01803

SVP, Sales & Business Dev.

Signatures

/s/ F. G. Hammond, Attorney-in-Fact 08/04/2010

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Aspen Technology, Inc. common stock.
- (2) Restricted stock units do not have an exercise price.
- (3) The option granted at the exercise price of \$10.93 vests in 16 equal quarterly installments beginning on September 30, 2010 and on the last business day of each quarter thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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