Foliano Michael Form 4/A November 20, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Foliano Michael Issuer Symbol ADTRAN INC [ADTN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 901 EXPLORER BLVD. 11/18/2018 below) Sr VP, Global Operations (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 11/20/2018 Form filed by More than One Reporting HUNTSVILLE, AL 35806 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		Table	Table 1 - Non-Derivative Securities Acquired, Disposed of, of Denemary Owned								
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transactio	1			Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code				Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership		
						Following	(Instr. 4)	(Instr. 4)			
							Reported				
					(A)		Transaction(s)				
			G 1 17		or	ъ.	(Instr. 3 and 4)				
_			Code V	Amount	(D)	Price					
Common	11/18/2018		A	1,662	Α	\$0	12,774	D			
Stock	11/10/2010		11	(1)	11	ΨΟ	12,777	D			
									D 401(1)		
Common							226.941	Ţ	By 401(k)		
Stock							220.7-FI	1	Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

#### Edgar Filing: Foliano Michael - Form 4/A

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	(1)					<u>(1)</u>	<u>(1)</u>	Common stock	<u>(1)</u>	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Foliano Michael

901 EXPLORER BLVD. Sr VP, Global Operations

HUNTSVILLE, AL 35806

## **Signatures**

/s/ Roger Shannon, by power of attorney 11/20/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 20, 2018, the reporting person filed a Form 4 incorrectly reporting the acquisition of 1,662 shares of Phantom Stock on

  (1) November 18, 2018, which shares should have been reported as the acquisition of shares of Common Stock. In addition to correcting such transaction, this amendment shows the correct number of shares of Phantom Stock owned by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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