WhiteHorse Finance, Inc. Form 8-K
August 08, 2016
UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K
CURRENT REPORT
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934
Date of Report: August 2, 2016
(Date of earliest event reported)
WhiteHorse Finance, Inc.

 $(Exact\ name\ of\ registrant\ as\ specified\ in\ its\ charter)$ 

Delaware 814-00967 45-4247759 (State or other jurisdiction (Commission (IRS Employer

of incorporation) File Number) Identification Number)

1450 Brickell Avenue, 31st Floor

33131

Miami, Florida

(Address of principal executive offices) (Zip Code)

(305) 381-6999

(Registrant's telephone number, including area code)

Not Applicable

(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

"Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

"Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

"Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

"Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 5.07. Submission of Matters to a Vote of Security Holders.

On August 2, 2016, WhiteHorse Finance, Inc. (the "Company") held its annual meeting of stockholders (the "Annual Meeting"). At the Annual Meeting, the Company's stockholders approved two proposals. The issued and outstanding shares of stock of the Company entitled to vote at the Annual Meeting consisted of 18,303,890 shares of common stock outstanding on the record date, June 6, 2016. The final voting results from the Annual Meeting were as follows:

Proposal 1. To elect one Class I director of the Company who will serve until the 2019 annual meeting of stockholders or until his successor is duly elected and qualifies.

Name Votes For Votes Against Abstentions G. Stacy Smith 10,501,021 166,959 352,850

Proposal 2. To authorize flexibility for the Company, with the approval of the Company's Board of Directors, to sell shares of the Company's common stock, par value \$0.001, (during the next 12 months) at a price below the then-current net asset value per share in one or more offerings, subject to certain conditions as set forth in the Company's proxy statement.

Votes For Votes Against Abstentions 9,592,266 1,157,610 270,954

## **Forward-Looking Statements**

This Current Report on Form 8-K may contain "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Statements other than statements of historical facts included in this Current Report on Form 8-K may constitute forward-looking statements and are not guarantees of future performance or results and involve a number of risks and uncertainties. Actual results may differ materially from those in the forward-looking statements as a result of a number of factors, including those described from time to time in filings with the Securities and Exchange Commission. The Company undertakes no duty to update any forward-looking statement made herein. All forward-looking statements speak only as of the date of this Current Report on Form 8-K.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: August 8, 2016 WHITEHORSE FINANCE, INC.

By:/s/ Edward Giordano Edward Giordano Chief Financial Officer