Edgar Filing: Harte Francis Michael - Form 4

Form 4	s Michael										
November 23	3, 2011										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								-	PPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549							COMMISSION	N OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation	Section 10	CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ection 16(a) of the Securities Exchange Act of 1934,						Expires: January 31 200 Estimated average burden hours per response 0.			
may cont See Instru 1(b).	inue. Section I	• •	Public Ut of the In	-	e			of 1935 or Sectio 40	on		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person *2. IssueHarte Francis MichaelSymbol			Symbol	er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
Artic				Global Investors Inc. [ART]				(Check all applicable)			
				of Earliest Transaction Day/Year) 2011 endment, Date Original onth/Day/Year)				Director 10% Owner XOfficer (give title Other (specify below) below) Chief Financial Officer 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORI	K, NY 10017								More than One R		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Ac	quired, Disposed (of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		on Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			SecuritiesHBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Class A				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Class A common stock	11/22/2011			А	1,307 (1)	А	\$0	183,187	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title Derivati Security (Instr. 3	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: Harte Francis Michael - Form 4

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Harte Francis Michael ARTIO GLOBAL INVESTORS INC 330 MADISON AVENUE NEW YORK, NY 10017	2.		Chief Financial Officer					
Signatures								
/s/ Rachel Braverman, as Agent	11/23/2011							
<u>**</u> Signature of Reporting Person	Date							

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents dividend equivalents with respect to restricted stock units ("RSUs"), each of which represents the right to receive one share of (1) Class A common stock of Artio Global Investors Inc. (the "Issuer") upon the lapse of restrictions. The dividend equivalents were issued in the form of additional RSUs and are subject to the same vesting provisions as the underlying RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.