Edgar Filing: Wood Jonathan S - Form 4

Wood Jonath	an S									
Form 4										
September 2										
FORM	$ 4 _{\text{UNITED STA}}$	TES SECUE	TTIES AN	ND EV	~TT A 1	NCEC	OMMISSION		PROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287		
Check this box if no longer							Expires:	January 31, 2005		
subject to Section 1 Form 4 or	GES IN BENEFICIAL OWNERS SECURITIES				VERSHIP OF	Estimated a burden hou response	iverage			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type F	Responses)									
Wood Jonathan S Symbol			r Name and Ticker or Trading ncial Group, Inc. [SIFI]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middl		-				(Check all applicable)			
			e of Earliest Transaction h/Day/Year) 5/2017				Director 10% Owner X Officer (give title Other (specify below) EVD Datail Darking			
		4 70 4	1	<u> </u>				Retail Banking		
			endment, Date Original nth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)_X_ Form filed by One Reporting Person				
	NTIC, CT 06226						Form filed by M Person	lore than One Re	porting	
(City)	(State) (Zip)	Tabl	e I - Non-De	rivative	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	an	ecution Date, if	Transaction Code	4. Securit (A) or Di (Instr. 3,	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
a			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	09/23/2017		F	931	D	\$ 15.05	8,307 <u>(1)</u>	D		
Common Stock							4,333	Ι	By 401(k)	
Common Stock							3,125	Ι	By ESOP	
Common Stock							0 (1)	Ι	By Stock Award II	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transacti Code	5. onNumber of	6. Date Exercisable and ber Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secui
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	· · · · · · · · · · · · · · · · · · ·			.)	(Instr	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 11.01					10/24/2013	10/24/2020	Common Stock	20,000 (2)	

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting o wher Funct / Functions	Director	10% Owner	Officer	Other				
Wood Jonathan S 803 MAIN STREET WILLIMANTIC, CT 06226			EVP-Retail Banking					
Signatures								
/s/ Scott Brown, pursuant to Po Attorney	ower of		09/27/2017					
**Signature of Reporting Perso	on		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Since the reporting person's last report, 2,666 shares previously held by Stock Award II have vested and are now owned directly.
- (2) Stock Options granted pursuant to the SI Financial Group, Inc. 2012 Equity Incentive Plan vest in five equal annual installments commencing on October 24, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.