#### DXP ENTERPRISES INC

Form 5

January 13, 2017

# FORM 5

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0362 Number: January 31,

**OMB** 

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box if

Expires: 2005 Estimated average

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response...

1.0

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940 Form 4

(Middle)

Transactions Reported

Patton David

(Last)

Symbol

5. Relationship of Reporting Person(s) to

Issuer

7272 PINEMONT DR

1. Name and Address of Reporting Person \*

(First)

DXP ENTERPRISES INC [DXPE]

2. Issuer Name and Ticker or Trading

(Check all applicable)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)

\_X\_ Director

10% Owner

12/31/2016

Officer (give title below) below)

Other (specify

4. If Amendment, Date Original

(Street)

6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

### HOUSTON, Â TXÂ 77040

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)

(State)

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Zip)

3. Transaction Code

(Instr. 8)

4. Securities Acquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned at end 6. Ownership 7. Nature of Form: Direct (D) or Indirect (I)

(Instr. 4)

Indirect Beneficial Ownership

(A) or Amount (D) Price of Issuer's Fiscal Year

(Instr. 3 and 4)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Pri
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		(Instr
	Derivative				Securities			

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Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) (A) (D) Date Expiration Title Amount Exercisable Date or Number of Shares DXP **DXP** Restricted  $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\underline{(1)}$ \$0 Â (1) Common 4,851 Stock Stock Units

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
r		10% Owner	Officer	Other	
Patton David 7272 PINEMONT DR	ÂΧ	Â	Â	Â	
HOUSTON, TX 77040	АЛ	A	A	A	

# **Signatures**

/s/ David Patton 01/10/2017

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant Date July 8, 2016: The terms of the grant provide for vesting in one installment on July 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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