BOSTON BEER CO INC

Form 4/A October 11, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * ROPER MARTIN F

(Last) (First) (Middle)

C/O THE BOSTON BEER COMPANY, INC., ONE DESIGN **CENTER PLACE, SUITE 850**

(Street)

BOSTON, MA 02210

2. Issuer Name and Ticker or Trading Symbol

BOSTON BEER CO INC [SAM]

3. Date of Earliest Transaction (Month/Day/Year) 09/22/2016

4. If Amendment, Date Original

Filed(Month/Day/Year) 09/23/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

X Director 10% Owner X_ Officer (give title _ Other (specify below)

President and C.E.O.

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

| (City) | (State) | (Zip) Tab | le I - Non-E | Derivative | Secui | rities Acqui | ired, Disposed of | , or Beneficiall | y Owned |
|--------------------------------------|---|---|---|--|------------------------------|---------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | ispose 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Class A Common | 09/22/2016 | | M <u>(1)</u> | 5,000 | A | \$ 43.55 | 37,273 | D | |
| Class A Common | 09/22/2016 | | S(1) | 1,323 | D | \$ 151.7 (2) | 35,950 | D | |
| Class A Common | 09/22/2016 | | S(1) | 2,377 | D | \$ 152.71 (3) | 33,573 | D | |
| Class A Common | 09/22/2016 | | S <u>(1)</u> | 1,200 | D | \$ 153.57 (4) | 32,373 | D | |

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Class A Common 09/22/2016 S₍₁₎ 100 D 154.58 32,273 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amoun Underlying Securiti (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--|-----------------|---|-----------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amor Numl Share |
| Class A Common Stock Option | \$ 43.55 | 09/22/2016 | | M(1) | 5,000 | 08/13/2013(1) | 08/11/2017(1) | Class A Common | 180. |

Reporting Owners

| Reporting Owner Name / Address | Kelationships | | | | | |
|---|---------------|-----------|----------------------|-------|--|--|
| . 0 | Director | 10% Owner | Officer | Other | | |
| ROPER MARTIN F C/O THE BOSTON BEER COMPANY, INC. ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210 | X | | President and C.E.O. | | | |

Signatures

Michael G. Andrews under POA for the benefit of Martin F.

Roper

10/11/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on July 29, 2016. The Rule 10b5-1 trading plan and the transactions contemplated thereby were approved by unanimous consent of the Class B

Reporting Owners 2

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Stockholders of the Company.

- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,323 shares (2) is from \$151.20 to \$152.18. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 2,377 shares is from \$152.20 to \$153.15. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,200 shares (4) is from \$153.20 to \$154.16. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.