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FEDERATED NATIONAL HOLDING CO

Form 4

March 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

| DORF CARL | | Symbol FEDERATED NATIONAL HOLDING CO [FNHC] | | | | 0 | Issuer (Check all applicable) | | | | |
|--------------------------------------|--------------------------------------|--|--|-----------------------------|--|---|--|---|---|---|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | | | X Director 10% Owner Officer (give title Other (specify | | | | | |
| 14050 N.W. 14 STREET, SUITE 180 | | 03/04/2013 | | | | | below) | below) | | | |
| | (Street) 4. If A | | 4. If Amer | If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| SUNRISE, FL 33323 | | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed | of, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | th/Day/Year) Execution Date, if TransactionAc any Code Di | | onAcquired Disposed | nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 03/04/2013 | | | A | 4,000 | A | <u>(1)</u> | 5,500 | D | | |
| Common Stock | | | | | | | | 59,624 | I | Held by Carl Dorf Rollover IRA | |
| Common Stock | | | | | | | | 54,164 | I | Held by | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Dorf Trust

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisab Expiration Date (Month/Day/Year | | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|--------------------------------------|---|---|---|---|-----------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Options | \$ 13.17 | | | | | 12/06/2008(2) | 12/06/2013 | Common Stock | 500 |
| Options | \$ 12.58 | | | | | 01/30/2009(2) | 01/30/2014 | Common Stock | 4,500 |
| Options | \$ 4.73 | | | | | 01/02/2010(3) | 01/02/2019 | Common Stock | 15,000 |
| Options | \$ 2.45 | | | | | 08/22/2012(3) | 08/22/2021 | Common Stock | 10,000 |
| Options | \$ 4.4 | | | | | 04/06/2013(3) | 04/06/2022 | Common Stock | 15,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| 1 8 | Director | 10% Owner | Officer | Other | | |
| DORF CARL 14050 N.W. 14 STREET SUITE 180 SUNRISE, FL 33323 | X | | | | | |

Signatures

| /s/ Carl Dorf | 03/05/2013 |
|----------------|------------|
| **Signature of | Date |

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award pursuant to the Federated National Holding Company 2012 Stock Incentive Plan.
- (2) Options vest 20% per year.
- (3) Options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.