#### SIMBERG BRUCE

Form 4/A

August 27, 2012

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SIMBERG BRUCE				Symbol 21ST CENTURY HOLDING CO [TCHC]						Issuer				
										(Check all applicable)				
					3. Date of Earliest Transaction (Month/Day/Year)					X Director 10% Owner Officer (give titleX Other (specify below)				
14050 N.W. 14 STREET, SUITE 180				08/21/2012						Chairman of the Board				
						Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
				Filed(Mor 08/23/2)	•	Y ear	)			Applicable Line) _X_ Form filed by One Reporting Person				
SUNRISE, FL 33323										Form filed by More than One Reporting Person				
	(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									y Owned			
	1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
	Common Stock	08/21/2012			P		1,000	A	\$ 5.0428	280,865	D			
	Common Stock	08/21/2012			P		1,000	A	\$ 5.0884	281,865	D			
	Common Stock	08/21/2012			P		1,000 (3)	A	\$ 5.09	282,865	D			
	Common Stock	08/21/2012			P		1,000	A	\$ 5.094	283,865	D			
	Common Stock	08/21/2012			P		1,000	A	\$ 5.0979	284,865	D			

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Common Stock	08/21/2012	P	1,000	A	\$ 5.0999	285,865	D
Common Stock	08/21/2012	P	2,500	A	\$ 5.1	288,365	D
Common Stock	08/21/2012	P	400	A	\$ 5.1599	288,765	D
Common Stock	08/21/2012	P	2,000	A	\$ 5.1995	290,765 (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionNumber Expi Code of (Mo		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500	
Options	\$ 12.58					01/30/2009(1)	01/30/2014	Common Stock	4,500	
Options	\$ 4.73					01/02/2010(2)	01/02/2019	Common Stock	25,000	
Options	\$ 2.45					08/22/2012(2)	08/22/2021	Common Stock	10,000	
Options	\$ 4.4					04/06/2013(2)	04/06/2022	Common Stock	15,000	

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

SIMBERG BRUCE 14050 N.W. 14 STREET SUITE 180 SUNRISE, FL 33323

Chairman of the Board

### **Signatures**

Reporting Person

/s/ Bruce F.
Simberg

\*\*Signature of Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

X

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest 20% per year.
- (2) The options vest 33 1/3% per year.

This amended Form 4 is being filed solely to correct information previously provided in error by the Reporting Person's broker. There (3) were 1,000 shares purchased at \$5.09 (not 2,000 as previously reported). The actual total number of shares purchased on 8/21/12 was 10,900 (not 11,900 as previously reported). The Reporting Person has not sold any of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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