Edgar Filing: 21ST CENTURY HOLDING CO - Form 4

21ST CENTU Form 4 August 24, 20	RY HOLDING (CO									
	Л									PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this if no longer	-								Expires:	January 31,	
subject to Section 16. Form 4 or	SIAIEMI	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								2005 average Irs per 0.5	
Form 5 obligations may contin <i>See</i> Instruc 1(b).	Section 17(a)		lic Util	lity Hold	ing Com	pany	Act c	ge Act of 1934, of 1935 or Sectio 40			
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> DORF CARL			2. Issuer Name and Ticker or Trading Symbol 21ST CENTURY HOLDING CO [TCHC]				-	5. Relationship of Reporting Person(s) to Issuer			
							20	(Check all applicable)			
(Last)	(First) (Middle) 3. Date of (Month/Da			Earliest Transaction ay/Year)			_X_ Director10% Owner Officer (give titleOther (specify below)below)				
3661 WEST O BLVD., SUIT	OAKLAND PAR FE 300	CK 08/	22/20	11				below)	below)		
			f Amendment, Date Original d(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
LAUDERDA	LE LAKES, FL	33311						Person		eporting	
(City)	(State) (Z	Zip)	Table	I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Dec Executi any (Month)		Execution Da	on Date, if TransactionAcquired Code Disposed			(A) or (C)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock				Code V	Amount	(D)	Price		I	Held by Carl Dorf Rollover IRA	
Common Stock								54,164	I	Held by Dorf Trust	
Common Stock								1,500	I	Held jointly with Spouse	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Options	\$ 2.45	08/22/2011		А	10,000	08/22/2012 <u>(2)</u>	08/22/2021	Common Stock	10,000
Options	\$ 15.79					12/05/2006(1)	12/05/2011	Common Stock	10,000
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500
Options	\$ 12.58					01/30/2008(1)	01/30/2014	Common Stock	4,500
Options	\$ 4.73					01/02/2010(2)	01/02/2019	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
DORF CARL 3661 WEST OAKLAND PARK BLVD., SUITE 300 LAUDERDALE LAKES, FL 33311							
Signatures							
Carl Dorf	08/24/2011						
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest 20% per year.
- (2) The options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.