Edgar Filing: Pfeiffer James F - Form 4

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Form 4										
April 11, 2011										
FORM 4	UNITED S		5 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						PROVAL 3235-0287	
Check this box if no longer			NERSHIP OF	Expires:	January 31, 2005					
subject to Section 16. Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSF SECURITIES							Estimated average burden hours per response		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Respondence)	nses)									
1. Name and Addres Pfeiffer James F	Symbol	-				5. Relationship of Reporting Person(s) to Issuer				
(Leet)		ASTEC INDUSTRIES INC [ASTE]					(Check all applicable)			
(Last) (1725 SHEPHER	(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 04/08/2011				Director 10% Owner X Officer (give title Other (specify below) below) President-American Augers				
((Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CHATTANOOC	GA, TN 37421						Form filed by M Person	fore than One Re	porting	
(City) ((State) (Z	Cip) Table	e I - Non-De	erivative S	ecurit	ties Ac	quired, Disposed of	f, or Beneficial	ly Owned	
	Fransaction Date onth/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) of of (D 4 and (A))	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
~			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock							7,219 (2)	D		
Common Stock							500	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	 5. Number of 5. Number of 5. Securities 6. Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	04/08/2011		А	91.0762		(1)	<u>(1)</u>	Common Stock	91.0762

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Pfeiffer James F 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-American Augers					
Signatures								
Robert Taylor, attorney in fact Pfeiffer	for James	i -	04/11/2011					
**Signature of Reporting Per	son		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction is a Company contribution to reporting person's SERP account that was used to purchase Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (2) Total reported holdings are restricted stock units that convert to common stock on a one-for-one basis at a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.