

Arno Andrew
Form 3
September 21, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Arno Andrew

(Last)

(First)

(Middle)

C/O MERRIMAN CURHAN
FORD GROUP, INC., 600
CALIFORNIA STREET, 9TH
FLOOR

(Street)

SAN
FRANCISCO, CA 94108

(City)

(State)

(Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/08/2009

3. Issuer Name and Ticker or Trading Symbol
Merriman Curhan Ford Group, Inc. [MERR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series D Convertible Preferred Stock	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	1,395,348	\$ 0.43	D	Â
Series D Convertible Preferred Stock	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	209,302	\$ 0.43	I	By Andrew Arno IRA
Series D Convertible Preferred Stock	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	145,348	\$ 0.43	I	By JBA Investments LLC <u>(2)</u>
Series D Convertible Preferred Stock	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	145,348	\$ 0.43	I	By MJA Investments LLC <u>(2)</u>
Common Stock Warrants (Right to Buy)	08/27/2009	08/27/2014	Common Stock	1,395,348	\$ 0.65	D	Â
Common Stock Warrants (Right to Buy)	08/27/2009	08/27/2014	Common Stock	209,302	\$ 0.65	I	By Andrew Arno IRA
Common Stock Warrants (Right to Buy)	08/27/2009	08/27/2014	Common Stock	145,348	\$ 0.65	I	By JBA Investments LLC <u>(2)</u>
Common Stock Warrants (Right to Buy)	08/27/2009	08/27/2014	Common Stock	145,348	\$ 0.65	I	By MJA Investments LLC <u>(2)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Arno Andrew C/O MERRIMAN CURHAN FORD GROUP, INC. 600 CALIFORNIA STREET, 9TH FLOOR SAN FRANCISCO, CA 94108	Â X	Â X	Â	Â

Signatures

/s/ Andrew Arno 09/21/2009

 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The convertible preferred stock is convertible at any time, at the holder's election, and has no expiration date.
- (2) Mr. Arno is the investment advisor for each of JBA Investments LLC and MJA Investments LLC and disclaims beneficial ownership of the securities held by each of these entities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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