## PATEK RICHARD A

Form 4
August 10, 2009

(Print or Type Responses)

| 1. Name and Address of Reporting Person *PATEK RICHARD A |  |  | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  | ASTEC INDUSTRIES INC [ASTE] | (Check all applicable) |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction |  |
| 1725 SHEPHERD RD |  |  | 08/07/2009 | $\qquad$ Director $\qquad$ 10\% Owner $\qquad$ Officer (give title $\qquad$ Other (specify below) $\qquad$ |
|  |  |  | Pres-Telsmith \& Grp VP Agg |  |
| (Street) |  |  |  | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check |
|  |  |  | Applicable Line) |  |
| CHATTANOOGA, TN 37421 |  |  | _X_Form filed by One Reporting Person $\qquad$ Form filed by More than One Reporting Person |  |



[^0]Persons who respond to the collection of
SEC 1474 information contained in this form are not
(9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed <br> Execution Date, if any (Month/Day/Year) | 4. <br> Transacti <br> Code <br> (Instr. 8) | 5. N <br> Deri <br> Sec <br> Acq or D (D) (Inst and | mber of ative rities ired (A) sposed of r. 3, 4, | 6. Date Exerci Expiration Date (Month/Day/Y | sable and ear) | 7. Title and Underlying (Instr. 3 and | mount of ecurities 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Code |  | (D) | Date <br> Exercisable | Expiration <br> Date | Title | Amount o Number o Shares |
| Phantom Stock | (1) |  |  |  |  |  | (1) | (1) | Common Stock | 11,548. |
| Stock Options | \$ 25.5 | 08/07/2009 |  | M |  | 10,000 | 03/05/2001 | 03/05/2010 | Common Stock | 10,00 |
| Stock <br> Options | \$ 12.969 |  |  |  |  |  | 01/01/2002 | 01/01/2011 | Common Stock | 18,50 |
| Stock <br> Options | \$ 14.5 |  |  |  |  |  | 01/01/2003 | 01/01/2012 | Common Stock | 14,65 |
| Stock <br> Options | \$ 19.43 |  |  |  |  |  | 03/06/2006 | 03/06/2015 | Common Stock | 10,00 |

## Reporting Owners

## Reporting Owner Name / Address

## Relationships

Director $10 \%$ Owner Officer Other

## PATEK RICHARD A

1725 SHEPHERD RD
CHATTANOOGA, TN 37421

## Signatures

Robert Taylor, attorney in fact for Richard A. Patek
**Signature of Reporting Person Date

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1) Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.


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(2) Restricted Stock Units convert into common stock on a one-for-one basis at a later date.
(3) Shares held in the reporting person's 401k account are based upon a Plan statement dated July 13, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.


[^0]:    Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

