SEELBACH SCOTT W

Form 4 April 15, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SEELBACH SCOTT W

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** 

5. Relationship of Reporting Person(s) to

Issuer

HANDLING, INC. [HY]

(Check all applicable)

5875 LANDERBROOK DRIVE

(First)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 04/11/2019

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

6. Individual or Joint/Group Filing(Check

(Middle)

Member of a Group

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non-	-Derivativ	e Sec	urities Ac	quired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	04/11/2019		Р	1 (1)	A	\$ 64.99	610	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/11/2019		P	1 (1)	A	\$ 64.99	610	I	Child's proportionate interest in shares held by Rankin Associates VI

Class A Common Stock	04/11/2019	P	1 (1)	A	\$ 64.99	610	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						10,497	I	Spouse's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						102	I	Spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock						514	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						7,326	I	Held in trust fbo Reporting Person's spouse. (2)
Class A Common Stock						634	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						563	I	Reporting Person?s spouse is co-trustee of a Trust fbo minor child.
						722	D	

Class A

Common Stock			
Class A Common Stock	1,321	I	Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	610	I	Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	475	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	722	I	Reporting Person?s spouse is co-trustee of a Trust fbo minor child.
Class A Common Stock	853	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	10,497
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	10,756
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	634
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	563
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	722
Class B Common Stock	(3)					(3)	<u>(3)</u>	Class A Common Stock	1,321

8. Price of Derivative Security (Instr. 5)

Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	475
Class B Common Stock	( <u>3)</u>	(3)	(3)	Class A Common Stock	722
Class B Common Stock	(3)	<u>(3)</u>	(3)	Class A Common Stock	853

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SEELBACH SCOTT W 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/15/2019

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 5

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