Edgar Filing: STEPHENS JOHN JOSEPH - Form 4

STEPHENS JOHN JOSEPH Form 4 March 12, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).											
(Print or Type Ro	esponses)										
STEPHENS JOHN JOSEPH Symbol					nd Ticker or T `]	rading		Relationship of Reporting Person(s) to suer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)					Director 10% Owner			
				/08/2019 -2				Officer (give titleOther (specify elow) below) Sr. Exec. VP and CFO			
				led(Month/Day/Year) App _X				Individual or Joint/Group Filing(Check plicable Line) Form filed by One Reporting Person _Form filed by More than One Reporting rson			
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative S	ecuritie	s Acquir	ed, Disposed of,	or Beneficially	Owned	
	Transaction Date Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	4. Securities orDisposed of (Instr. 3, 4 ar Amount	(D)	d (A) or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 0. Stock 0.	3/08/2019			F <u>(1)</u>	5,105.5767		\$ 29.96	143,422.680	7 I <u>(2)</u>	By Benefit Plan	
Common Stock								5,744.3958	Ι	By 401(k)	
Common Stock								434,184	D (2)		
Common Stock								163,302	Ι	By LP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5.	6. Date Exerc		7. Titl		8. Price of Derivative	9. Nu Deriv
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Tear)	(Month/Day/Year)	Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Under Securi (Instr.	lying	Security (Instr. 5)	Secur Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	ss Relationships						
	Director	10% Owner	Officer	Other			
STEPHENS JOHN JOSEPH 208 S. AKARD STREET DALLAS, TX 75202			Sr. Exec. VP and CFO				
Signatures							
/s/ Wayne A. Wirtz, Attorney-in-fact		03/12/2019					
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mandatory tax withholding on distribution from benefit plan.
- (2) Reflects transfer of 8,693 shares owned indirectly by benefit plan to direct ownership due to distribution from benefit plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.