McDonald Scott Form 4 March 07, 2019

# FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or ES IN BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * McDonald Scott |          |                | Issuer Name and Ticker or Trading     Symbol     | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |
|--|----------|----------------|--|---|--|--|--|
|  |          |                | MARSH & MCLENNAN<br>COMPANIES, INC. [MMC]        | (Check all applicable)                                  |  |  |  |
| (Last)   | (First)  | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year) | Director 10% Owner Selfow) Other (specification)        |  |  |  |
| 1166 AVENUE OF THE<br>AMERICAS                           |          |                | 03/05/2019                                       | President and CEO of OWG                                |  |  |  |
|  | (Street) |                | 4. If Amendment, Date Original                   | 6. Individual or Joint/Group Filing(Check               |  |  |  |
|  |          |                | Filed(Month/Day/Year)                            | Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| NEW YORK, NY 10036                                       |          |                |  | Form filed by More than One Reporting Person            |  |  |  |
| (61.   | (0 )     | ( <b>7</b> : ) |  |   |  |  |  |

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |        |               |  |  |   |  |  |
|--------------------------------------|---|--|--|---|--------|---------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>ord Dispos<br>(Instr. 3, 4) | sed of |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 03/05/2019                              |  | S(1)                                   | 5,521                                     | D      | \$<br>92.8173 | 24,651.9288  | D (2)  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: McDonald Scott - Form 4

| 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date (Month/Day/Year) |                      | 4.<br>Transactio | 5.<br>Mumber  | 6. Date Exerc<br>Expiration D |                    | 7. Title at Amount of                        |         | 8. Price of Derivative | 9. Nu<br>Deriv   |
|------------------------|--|--------------------------------------|----------------------|------------------|---|-------------------------------|--------------------|--|---------|------------------------|--|
| Security (Instr. 3)    | or Exercise Price of Derivative Security | Exercise ice of erivative            | any (Month/Day/Year) | Code (Instr. 8)  | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | cies<br>ed<br>ed<br>3,        |                    | Underlying<br>Securities<br>(Instr. 3 and 4) | ng<br>s | (Instr. 5)             | Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |  |                                      |                      | Code V           | (A) (D)   | Date<br>Exercisable           | Expiration<br>Date | or<br>Title Nu<br>of                         | umber   |                        |  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McDonald Scott 1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036

President and CEO of OWG

## **Signatures**

/s/ Tiffany D. Wooley, Attorney-in-Fact 03/07/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the Reporting Person.
- (2) This common stock balance includes total shares purchased through the Marsh & McLennan Companies Employee Stock Purchase Plan. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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