Pagliari Aldo John Form 4 January 02, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Pagliari Aldo John Issuer Symbol SNAP-ON Inc [SNA] (Last) (First) (Middle) 3. Date of Earliest Transaction

(Check all applicable)

SNAP-ON INCORPORATED, 2801 80TH STREET

(Ctota)

(Month/Day/Year)

12/29/2018

Director 10% Owner Other (specify X\_ Officer (give title ) below) Sr VP - Finance & CFO

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

#### KENOSHA, WI 53143

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/29/2018		M(1)	3,142	A	<u>(1)</u>	47,691.4111 (2)	D	
Common Stock	12/29/2018		F(3)	1,435	D	\$ 144.25	46,256.4111	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction of Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Restricted Stock Units	<u>(4)</u>	12/29/2018		M <u>(1)</u>			3,142	<u>(1)</u>	<u>(1)</u>	Common Stock	3,
Stock Option (Right to Buy)	\$ 41.01							<u>(5)</u>	02/10/2020	Common Stock	6,4
Stock Option (Right to Buy)	\$ 58.94							<u>(5)</u>	02/09/2021	Common Stock	20,
Stock Option (Right to Buy)	\$ 60							<u>(5)</u>	02/08/2022	Common Stock	27,
Stock Option (Right to Buy)	\$ 79.04							<u>(5)</u>	02/13/2023	Common Stock	30,
Stock Option (Right to Buy)	\$ 109.43							<u>(5)</u>	02/13/2024	Common Stock	33,
Stock Option (Right to Buy)	\$ 144.69							<u>(5)</u>	02/12/2025	Common Stock	34,
Stock Option (Right to Buy)	\$ 138.03							02/11/2017(6)	02/11/2026	Common Stock	35,
Stock Option (Right to Buy)	\$ 168.7							02/09/2018(6)	02/09/2027	Common Stock	36,
Stock Option (Right to Buy)	\$ 161.18							02/15/2019(6)	02/15/2028	Common Stock	26,
Restricted Stock Units	<u>(4)</u>							<u>(7)</u>	<u>(7)</u>	Common Stock	9

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Restricted Stock Units	<u>(4)</u>	(8)	(8)	Common Stock	3,0
Performance Units	<u>(4)</u>	<u>(9)</u>	<u>(9)</u>	Common Stock	2,
Performance Units	<u>(4)</u>	(10)	(10)	Common Stock	2,0
Performance Units	<u>(4)</u>	(11)	(11)	Common Stock	3,0

### **Reporting Owners**

Reporting Owner Name / Address	eporting Owner Name / Address				
	Director	10% Owner	Officer	Other	

Pagliari Aldo John SNAP-ON INCORPORATED 2801 80TH STREET KENOSHA, WI 53143

Sr VP - Finance & CFO

# **Signatures**

/s/ Ryan S. Lovitz under Power of Attorney for Aldo J. Pagliari

01/02/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units were earned based on Company performance during fiscal 2016 and vested in one installment based on continued employment through the end of fiscal 2018.
- (2) Includes 24.4948 shares acquired under a dividend reinvestment plan.
- (3) Shares were withheld to cover tax withholding upon the vesting of the restricted stock units.
- **(4)** 1 for 1.
- (5) Option fully vested.
- (6) Original option grant vests in three annual installments beginning on the date listed in the "Date Exercisable" column.
- (7) The restricted stock units were earned based on Company performance during fiscal 2017. Assuming continued employment through the end of fiscal 2019, the units will then vest in one installment and the shares will be issued shortly thereafter.
- The restricted stock units may be earned based on the achievement of certain Company goals during fiscal 2018. Assuming continued employment through the end of fiscal 2020, any units earned will then vest in one installment and the shares will be issued shortly thereafter. The target number of units that may be earned is reported above; the maximum number is 200% of the number reported, subject to plan limits.
- (9) If the Company achieves certain goals over the 2016-2018 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported, subject to plan limits.
- (10) If the Company achieves certain goals over the 2017-2019 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported, subject to plan limits.
- (11) If the Company achieves certain goals over the 2018-2020 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported, subject to plan limits.

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