Shapiro Glenn T Form 3/A August 08, 2018

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ALLSTATE CORP [ALL] Shapiro Glenn T (Month/Day/Year) 01/03/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE ALLSTATE 01/12/2018 (Check all applicable) CORPORATION, Â 2775 SANDERS ROAD 10% Owner Director Officer \_X\_ Other (Street) 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Pres Allstate Personal Lines \_X\_ Form filed by One Reporting Person NORTHBROOK, Â ILÂ 60062 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 1,725 D Common Stock 10 Ι By 401(K) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (Right to Buy)	(1)	04/05/2026	Common Stock	24,537	\$ 66.7	D	Â
Employee Stock Option (Right to Buy)	(2)	02/09/2027	Common Stock	43,133	\$ 78.35	D	Â
Restricted Stock Units	04/05/2019	04/05/2019	Common Stock	6,964	\$ <u>(3)</u>	D	Â
Restricted Stock Units	(4)	04/05/2019	Common Stock	4,423	\$ (4)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Shapiro Glenn T C/O THE ALLSTATE CORPORATION 2775 SANDERS ROAD NORTHBROOK, IL 60062	Â	Â	Â	Pres Allstate Personal Lines

#### **Signatures**

/s/ Efie Vainikos, attorney-in-fact for Mr.
Shapiro
08/08/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Stock option award granted on April 5, 2016 for 36,805 shares of common stock vesting in three equal increments, with any fractional shares to be rounded as provided for in award agreement. The first increment vested on April 5, 2017. The remaining increments will vest on April 5, 2018 and April 5, 2019.
- Stock option award granted on February 9, 2017 for 43,133 shares of common stock vesting in three equal increments, with any fractional shares to be rounded as provided for in award agreement, on February 9, 2018, February 9, 2019, and February 9, 2020.
- Award of Restricted Stock Units (RSUs) granted on April 5, 2016 under The Allstate Corporation 2013 Equity Incentive Plan. Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock (on the conversion date), which is April 5, 2019.
- Award of Restricted Stock Units (RSUs) granted on April 5, 2016 under The Allstate Corporation 2013 Equity Incentive Plan. Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock (on the conversion date), with any fractional shares to be rounded as provided for in award agreement. Half of the RSUs will convert on April 5, 2018 and the remaining half will convert on April 5, 2019.

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#### **Remarks:**

This amendment is being filed solely to add the Power of Attorney inadvertently omitted from the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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