

Anthem, Inc.

Form 3

December 16, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Penczek Ronald W

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

12/08/2015

3. Issuer Name **and** Ticker or Trading Symbol
Anthem, Inc. [ANTM]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP, Chief Accounting Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

120 MONUMENT CIRCLE

(Street)

INDIANAPOLIS,Â INÂ 46204

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,989 ⁽¹⁾

D

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Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (2)	03/01/2020	Common Stock	267	\$ 61.88	D	Â
Employee Stock Option (Right to Buy)	Â (3)	03/03/2021	Common Stock	856	\$ 89.44	D	Â
Employee Stock Option (Right to Buy)	Â (4)	03/02/2025	Common Stock	1,301	\$ 146.93	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Penczek Ronald W 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204	Â	Â	Â SVP, Chief Accounting Officer	Â

Signatures

/s/ Kathleen S. Kiefer, Attorney
in fact 12/16/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted shares which vest on various dates between March 1, 2016 and March 2, 2018.

(2) The option, representing a right to purchase a total of 1,602 shares, became exercisable in six equal semi-annual installments of 267 shares each beginning on September 1, 2013, which was the six-month anniversary of the date on which the option was granted.

(3) The option, representing a right to purchase a total of 1,711 shares, became exercisable in five equal semi-annual installments of 285 shares each and one installment of 286 shares beginning on September 3, 2014, which was the six-month anniversary of the date on which the option was granted.

(4) The option, representing a right to purchase a total of 1,301 shares, became exercisable in one installment of 216 shares and five equal semi-annual installments of 217 shares each beginning on September 2, 2015, which was the six-month anniversary of the date on which the option was granted.

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Remarks:

ExhibitÂ List:Â ExhibitÂ 24-PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.