MARSH & MCLENNAN COMPANIES, INC. Form 3 January 06, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> McDonald Scott			2. Date of Event Requiring Statement(Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol MARSH & MCLENNAN COMPANIES, INC. [MMC]				
(Last)	(First)	(Middle)	01/01/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
1166 AVEN	UE OF TH	IE					· · ·	
AMERICAS				(Check all applicable)				
NEW YORI	(Street) K, NY 1	10036		Director10% Owner XOfficerOther (give title below) (specify below) CEO of Oliver Wyman Group			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Ber	neficially Owned	
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	•	
Common Ste	ock		7,480.061		D	Â		
Reminder: Rep owned directly	-	ate line for ea	ch class of securities benefic	^{ially} S	EC 1473 (7-02)		
Ţ	Perso inform requir	nation conta red to respo	pond to the collection of ained in this form are not nd unless the form disp MB control number.	t				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

Edgar Filing: MARSH & MCLENNAN COMPANIES, INC. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	22,191	\$ <u>(2)</u>	D	Â
Stock Options (Right to Buy)	(3)	03/15/2015	Common Stock	9,000	\$ 30.505	D	Â
Stock Options (Right to Buy)	(4)	03/14/2016	Common Stock	3,500	\$ 30.215	D	Â
Stock Options (Right to Buy)	(5)	02/11/2017	Common Stock	1,576	\$ 29.6	D	Â
Stock Options (Right to Buy)	(6)	02/25/2018	Common Stock	2,023	\$ 26.07	D	Â
Stock Options (Right to Buy)	(7)	02/21/2020	Common Stock	11,200	\$ 22.705	D	Â
Stock Options (Right to Buy)	(7)	02/21/2020	Common Stock	218	\$ 22.705	D	Â
Stock Options (Right to Buy)	(8)	02/20/2021	Common Stock	11,245	\$ 30.595	D	Â
Stock Options (Right to Buy)	(9)	02/23/2022	Common Stock	13,039	\$ 31.885	D	Â
Stock Options (Right to Buy)	(10)	02/24/2023	Common Stock	20,162	\$ 36.495	D	Â

Reporting Owners

Relationships					
Director	10% Owner	Officer	Other		
Â	Â	CEO of Oliver Wyman Group	Â		
	_		Director 10% Owner Officer		

Signatures

/s/ Lucy Fato, Attorney-in-Fact	01/06/2014
** Signature of Reporting Person	Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not Applicable.
- (2) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.
- These options vested in four equal annual installments on March 16th of 2006, 2007, 2008, and 2009. These options became exercisable (3) when, after they vested, the closing price of Marsh & McLennan Companies common stock was at least 15% higher than the exercise

Edgar Filing: MARSH & MCLENNAN COMPANIES, INC. - Form 3

price of the options for ten consecutive trading days.

These options vested in four equal annual installments on March 15th of 2007, 2008, 2009, and 2010. These options became exercisable
 (4) when, after they vested, the closing price of Marsh & McLennan Companies common stock was at least 15% higher than the exercise price of the options for ten consecutive trading days.

These options vested in four equal annual installments on February 12th of 2008, 2009, 2010, and 2011. These options became
 exercisable when, after they vested, the closing price of Marsh & McLennan Companies common stock was at least 15% higher than the exercise price of the options for ten consecutive trading days.

- (6) These options vested in four equal installments and became exercisable on February 26th of 2009, 2010, 2011, and 2012.
- These options were granted on February 22, 2010 and vest in four equal annual installments beginning on the first anniversary of the grant date. The first three installments vested and became exercisable on February 22nd of 2011, 2012, and 2013. The remaining installment vests on February 22, 2014.

These options were granted on February 21, 2011 and vest in four equal annual installments beginning on the first anniversary of the grant date. The first two installments vested and became exercisable on February 21st of 2012, and 2013. The remaining installments vest on February 21st of 2014, and 2015.

- These options were granted on February 24, 2012 and vest in four equal annual installments beginning on the first anniversary of the
 (9) grant date. The first installment vested and became exercisable on February 24, 2013. The remaining installments vest on February 24th of 2014, 2015, and 2016.
- (10) These options were granted on February 25, 2013 and vest in four equal annual installments on February 25th of 2014, 2015, 2016, and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.