

STANLEY BLACK & DECKER, INC.

Form 4

December 09, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
VOELKER JOSEPH R

2. Issuer Name **and** Ticker or Trading
Symbol
**STANLEY BLACK & DECKER,
INC. [SWK]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1000 STANLEY DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/05/2013

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
SVP, Human Resources

NEW BRITAIN, CT 06053

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/05/2013		A	Amount <u>4,286</u> (1)	A \$ 0	20,536	D
Common Stock	12/06/2013		D	213 (2)	D \$ 80.955	20,323	D
Common Stock (3)						3,875.1567	I Through Computershare Under ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the form**

SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option (Right to Buy)	\$ 79.7	12/05/2013		A		15,000		(4)	12/05/2023	Common Stock	1,000
Interest In Employer Stock Fund (401(k) Plan) (5)	(6)							(6)	(6)	Common Stock	1,100
Interest in Employer Stock Fund (Supplemental Plan) (7)	(6)							(6)	(6)	Common Stock	56

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
VOELKER JOSEPH R 1000 STANLEY DRIVE NEW BRITAIN, CT 06053	SVP, Human Resources

Signatures

/s/ Bruce H. Beatt,
Attorney-in-Fact 12/09/2013

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares to be delivered on vesting of restricted stock units that vest in four equal annual installments beginning on the first anniversary of the date of grant.

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- (2) Shares withheld to cover taxes on vesting of restricted stock units

Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated

- (3) in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.

- (4) The option will become exercisable in four equal annual installments beginning on the first anniversary of the date of grant.

Represents shares held for the reporting person under the Company's 401(k) Savings Plan as of the last day of the calendar month that

- (5) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.

- (6) Exempt

Represents shares held for the reporting person under the Company's Supplemental Plan as of the last day of the calendar month that

- (7) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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