#### Edgar Filing: SOUTHERN FIRST BANCSHARES INC - Form 4

#### SOUTHERN FIRST BANCSHARES INC

Form 4

September 25, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

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January 31, 2005

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

| 1. Name and Address of Reporting Person * CUBBAGE LEIGHTON M |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |
|--|----------|----------|--|---|--|--|--|
|  |          |          | SOUTHERN FIRST<br>BANCSHARES INC [SFST]            | (Check all applicable)                                  |  |  |  |
| (Last)   | (First)  | (Middle) | 3. Date of Earliest Transaction                    | X Director 10% Owner                                    |  |  |  |
| P.O. BOX 17465   |          |          | (Month/Day/Year)<br>09/25/2015                     | Officer (give title Other (specify below)               |  |  |  |
|  | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check               |  |  |  |
|  |          |          | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| GREENVILLE, SC 29606   |          |          |  | Form filed by More than One Reporting Person            |  |  |  |

| (City)                               | (State) (   | Zip) Table | e I - Non-D   | erivative | Secur            | ities Acqu                                | uired, Disposed of              | f, or Beneficial  | ly Owned |
|--------------------------------------|---|------------|---|-----------|------------------|---|---------------------------------|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |            | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |           |                  | Beneficially (D) or<br>Owned Indirect (I) |                                 | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |            | Code V  | Amount    | (A)<br>or<br>(D) | Price                                     | Transaction(s) (Instr. 3 and 4) |   |          |
| Common stock                         | 09/25/2015  |            | S   | 1,000     | D                | \$ 19.4                                   | 95,352                          | D   |          |
| Common stock                         | 09/25/2015  |            | S   | 733       | D                | \$<br>19.35                               | 94,619                          | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer |            | 7. Title a |        | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|------------|------------|--------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | onNumber   | Expiration D |            | Amount     |        | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)      | Underly    | _      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |            | Securitie  | es     | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | S            |            | (Instr. 3  | and 4) |             | Own     |
|             | Security    |                     |                    |            | Acquired   |              |            |            |        |             | Follo   |
|             | ,           |                     |                    |            | (A) or     |              |            |            |        |             | Repo    |
|             |             |                     |                    |            | Disposed   |              |            |            |        |             | Trans   |
|             |             |                     |                    |            | of (D)     |              |            |            |        |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |              |            |            |        |             | (IIISti |
|             |             |                     |                    |            | 4, and 5)  |              |            |            |        |             |         |
|             |             |                     |                    |            | 4, and 3)  |              |            |            |        |             |         |
|             |             |                     |                    |            |            |              |            | A          | mount  |             |         |
|             |             |                     |                    |            |            | D.           | E          | 01         | r      |             |         |
|             |             |                     |                    |            |            | Date         | Expiration | Title N    | umber  |             |         |
|             |             |                     |                    |            |            | Exercisable  | Date       | 01         |        |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |              |            |            | hares  |             |         |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| 1 8                            | Director      | 10% Owner | Officer | Other |  |  |  |  |
| CUBBAGE LEIGHTON M             |               |           |         |       |  |  |  |  |
| P.O. BOX 17465                 | X             |           |         |       |  |  |  |  |
| GREENVILLE, SC 29606           |               |           |         |       |  |  |  |  |

## **Signatures**

Leighton M. Cubbage, /s/Julie A. 09/25/2015 Fairchild

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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