Edgar Filing: Hickey William August Jr - Form 4

•	am August Jr											
Form 4	010											
January 30, 2	_											
FORM	$ 4 _{\mathrm{UNUTE}}$		SECUE	TTIES A	ND EV	TT A 1	NCE	COMMISSION	r	OMB APPROVAL		
	UNITE	DSIALE	OMB Number:	3235-0287								
Check thi	or								Expires:	January 31, 2005		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP							NERSHIP OF	Estimated average				
Section 16. SECURITIES									burden hou	•		
	Form 4 or								response	0.5		
Form 5 obligatior	1 0	•					•	ge Act of 1934,				
may conti				•	•	· ·		f 1935 or Sectio	n			
See Instru	iction	30(h)) of the In	vestment	Compan	y Act	t of 194	40				
1(b).												
(Print or Type R	Responses)											
1. Name and A	ddress of Report	ing Person *	2 Issue	Name and	Ticker or	Tradin	a	5. Relationship of	f Reporting Per	son(s) to		
Hickey William August Jr Symbol				i vanie anu	Tieker of	11aum	5	Issuer				
2	SWAY FINANCIAL											
				CES INC		_		(Check all applicable)				
(Last)	(First)	(Middle)		Earliest Tr				Director	10%	6 Owner		
				onth/Day/Year)				X_ Officer (give title Other (specify below) below)				
				01/30/2018					below) FO and EVP			
SERVICES,	INC., 150 PI	ERCE						C				
ROAD, SUI	TE 600											
				Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
				th/Day/Year)			Applicable Line)				
								X Form filed by One Reporting Person Form filed by More than One Reporting				
ITASCA, IL	, 60143							Person	More than One Re	eporting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Securi	ties Acc	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of	2. Transaction	Date 2A. Dee	emed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Y		on Date, if		on(A) or D	ispose	d of	Securities	Form: Direct			
(Instr. 3) any (Month/Day/Year				Code (D) (Instr. 8) (Instr. 3, 4 and 5)				Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(Monun/	(Day/ i ear)	(Instr. 8)	(insu: 5,	4 and	3)	Following	(Instr. 4)	(Instr. 4)		
						(1)		Reported	((
						(A) or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	01/30/2018			Р	264	А	\$ 5.71	304,294 <u>(1)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code 7	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: Hickey William August Jr - Form 4

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Hickey William August Jr KINGSWAY FINANCIAL SERVICES, INC. 150 PIERCE ROAD, SUITE 600 ITASCA, IL 60143				CFO and EVP				
Signatures								
/s/William A. 01/	30/2018							

Hickey, Jr.

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 229,500 restricted common shares under the 2013 Equity Incentive Plan, approved by shareholders in May 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.