Edgar Filing: Fernandez Erick Anthony - Form 4

| Fernandez Er Form 4 March 08, 20 | | | | | | | | | | | |
|--|--|--|--|--|--------------|--------------|--|--|---|-----------|--|
| FORM | | | | | - | OMB APPROVAL | | | | | |
| - | UNITEDS | Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | | |
| Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru | er STATEM 5. Filed purs ¹⁵ Section 17(a | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | burden hou response | timated average rden hours per | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Fernandez Erick Anthony Symbol | | | | Issuer Name and Ticker or Trading bol Nat Holding Co [FNHC] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (M | liddle) | 3. Date of Earliest Transaction (Check | | | | ck all applicabl | | | | |
| (Month/D | | | | Month/Day/Year) 3/06/2019 | | | | Director 10% Owner X Officer (give title Other (specify below) below) CAO | | | |
| | | | | Amendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| SUNRISE, FL 33323 | | | | | | | | Form filed by I | y One Reporting Person y More than One Reporting | | |
| (City) | (State) (| Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | any | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) | | | SecuritiesIBeneficially(OwnedIFollowing(Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Stock, par value \$0.01 per share | 03/06/2019 | | | А | 3,500 (1) | А | \$ 0 | 9,800 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Unde Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addr | PSS | Relationships | | | | | | |
|---|------------|---------------|---------|-------|--|--|--|--|
| Reporting O when I tunic / I tuni | Director | 10% Owner | Officer | Other | | | | |
| Fernandez Erick Anthony 14050 NW 14 STREET SUITE 180 SUNRISE, FL 33323 | | | CAO | | | | | |
| Signatures | | | | | | | | |
| /s/ Erick A. Fernandez | 03/08/2019 | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares granted under the Issuer's 2018 Omnibus Incentive Compensation Plan, which vest over five years, 20% on each anniversary of the grant date.
- (2) Includes (i) 3,500 restricted shares described in footnote (1); and (ii) an aggregate of 4,100 unvested restricted shares previously granted under the 2012 Stock Incentive Plan, which vest over three years beginning on the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.