BARTON RICHARD N

Form 4

December 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * **BARTON RICHARD N**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

NETFLIX INC [NFLX]

(Check all applicable)

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

_X__ Director Officer (give title

10% Owner Other (specify

100 WINCHESTER CIRCLE

12/06/2017

(Month/Day/Year)

below)

(State)

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

(Street)

Filed(Month/Day/Year)

LOS GATOS, CA 95032

| Table I. Non-Derivative Securities Acquired Disposed of or Reneficially | Doggood |
|---|---------|

| · | | - I al | 16 1 - 14011 | -Derivativ | e seci | ui iues Acqu | ii cu, Disposcu o | i, or belieffer | any Owned |
|--------------------------------------|---|---|---|---|------------------|---------------|--|---|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) oner Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (I) (Instr. 4) | |
| Common Stock | 12/06/2017 | | M | 128 (1) | () | \$ 19.2729 | 7,171 | D | |
| Common Stock | 12/06/2017 | | S | 128 (1) | D | \$ 183.38 | 7,043 | D | |
| Common Stock | 12/06/2017 | | M | 222 (1) | A | \$ 22.0943 | 7,265 | D | |
| Common Stock | 12/06/2017 | | S | 222 (1) | D | \$ 183.38 | 7,043 | D | |
| Common Stock | 12/07/2017 | | M | 350 (1) | A | \$ 22.0943 | 7,393 | D | |
| | 12/07/2017 | | S | 350 <u>(1)</u> | D | \$ 185.71 | 7,043 | D | |

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Common Stock

Common Stock 20,000 I By Foundation (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Deriv Secur Acqu (A) o Dispo | vative rities nired or osed o) r. 3, 4, | 6. Date Exercis Expiration Dat (Month/Day/Y | e | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|---|---|--|--|---|---|--------------------|---|--------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun or Numbe of Shares |
| Non-Qualified Stock Option (right to buy) | \$ 19.2729 | 12/06/2017 | | M | | 128 (1) | 09/01/2010 | 09/01/2020 | Common Stock | 128 |
| Non-Qualified Stock Option (right to buy) | \$ 22.0943 | 12/06/2017 | | M | | 222 (1) | 10/01/2010 | 10/01/2020 | Common Stock | 222 |
| Non-Qualified Stock Option (right to buy) | \$ 22.0943 | 12/07/2017 | | M | | 350 (1) | 10/01/2010 | 10/01/2020 | Common Stock | 350 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| BARTON RICHARD N 100 WINCHESTER CIRCLE LOS GATOS, CA 95032 | X | | | | | | | |

Reporting Owners 2

Date

Signatures

By: Carole Payne, Authorized Signatory For: Richard N.
Barton
12/07/2017

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).
- (2) As trustee of the Barton Family Foundation

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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