### Bergren Scott Form 3/A December 07, 2006 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB 3235-0104

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Bergren Scott			<ol> <li>Date of Event Requiring Statement (Month/Day/Year)</li> </ol>	3. Issuer Name and Ticker or Trading Symbol YUM BRANDS INC [YUM]				
(Last)	(First)	(Middle)	12/01/2006	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
14841 NOR' PARKWAY		AS		(Check	all applicable)		12/01/2006	
(Street) DALLAS, TX 75254				Director 10% Owner X Officer Other (give title below) (specify below) President - Pizza Hut, Inc.		ow)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Bei	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	1	
Common Sto	ock		2		D	Â		
Reminder: Repo		ate line for ea	ch class of securities benefic	<sup>ially</sup> S	EC 1473 (7-02	)		
	inform requir	nation conta ed to respo	pond to the collection of ained in this form are not nd unless the form disp MB control number.	t				

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable	Title	Derivative Security	Security: Direct (D)	

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

### Edgar Filing: Bergren Scott - Form 3/A

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Phantom Stock	(1)	(2)	Common Stock	2,309.38	\$ <u>(3)</u>	D	Â
Employee Stock Option (right to buy)	04/01/2003(4)	04/01/2012	Common Stock	19,012	\$ 28.93	D	Â
Employee Stock Option (right to buy)	04/01/2003(4)	04/01/2012	Common Stock	19,012	\$ 28.93	D	Â
Phantom Stock	(5)	(2)	Common Stock	2,345.01	\$ 0 <u>(3)</u>	D	Â
Phantom Stock	(1)	(2)	Common Stock	10,467.5	\$ <u>(3)</u>	D	Â
Employee Stock Option (right to buy)	01/23/2004(6)	01/23/2013	Common Stock	45,352	\$ 24.325	D	Â
Phantom Stock	(7)	(2)	Common Stock	3,146.03	\$ <u>(3)</u>	D	Â
Stock Option Right to Buy	01/27/2005(8)	01/27/2014	Common Stock	43,945	\$ 34.46	D	Â
Stock Option (Right to Buy)	01/28/2006(9)	01/28/2015	Common Stock	26,016	\$ 45.06	D	Â
Phantom Stock	(1)	(2)	Common Stock	4,001.77	\$ <u>(3)</u>	D	Â
YUM Stock Appreciation Right	01/26/2007(10)	01/26/2016	Common Stock	31,079	\$ 48.935	D	Â
Phantom Stock	(1)	(2)	Common Stock	16,460.61	\$ <u>(3)</u>	D	Â
Phantom Stock	(1)	(2)	Common Stock	2,295.02	\$ <u>(3)</u>	D	Â
Phantom Stock	(1)	(2)	Common Stock	2,513.46	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Bergren Scott	•	•	President -			
14841 NORTH DALLAS PARKWAY	Â	Â	Pizza Hut,	Â		
DALLAS, TX 75254			Inc.			

# Signatures

Scott Bergren

12/07/2006

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of phantom stock payable upon retirement or termination and are subject to earlier withdrawal in accordance with the terms of YUM! Brands, Inc. deferred compensation plan.
- (2) The YUM! Brands, Inc. Executive Income Deferral Plan does not have specified expiration dates.
- (3) Conversion occurs on a one-for-one basis.
- (4) 25% per year beginning 04/01/2003
- (5) Shares of phantom stock are payable 4/1/2008 or retirement or termination and are subject to earlier withdrawal in accordance with the terms of the YUM! Brands, Inc. deferred compensation plan.
- (6) Vesting will occur 25% per year beginning 1/23/2004.
- (7) Payable 1/1/2008 or upon retirement and pursuant to the terms of the YUM! Brands deferred compensation plan.
- (8) Vesting will occur 25% per year beginning 1/27/2005.
- (9) Vesting will occur 25% per year beginning 01/28/2006
- (10) Vesting will occur 25% per year beginning 01/26/2007

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.