DiNello Alessandro Form 4 January 03, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

DiNello Alessandro

2. Issuer Name and Ticker or Trading Symbol

FLAGSTAR BANCORP INC [(NYSE:FBC)]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

12/31/2018

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

below)

(Check all applicable)

10% Owner

Other (specify

C/O FLAGSTAR BANCORP, INC., 5151 CORPORATE DRIVE

(First)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

CEO and President 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TROY, MI 48098

								1 Cison			
(City)	(State)	Zip) Table I - Non-Derivative Securities Acq						quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Flagstar Bancorp, Inc. Common Stock	12/31/2018		Code M	V	Amount 34,091	(D)	Price	205,450	D		
Flagstar Bancorp, Inc. Common Stock	12/31/2018		F(1)		14,864	D	\$0	190,586	D		
	12/26/2018		G	V	10,000	D	\$0	180,586	D		

Flagstar Bancorp, Inc. Common Stock									
Flagstar Bancorp, Inc. Common Stock	12/24/2018	G(2)	V	14,600	D	\$ 0	165,986	D	
Flagstar Bancorp, Inc. Common Stock	12/24/2018	G	V	1,000	A	\$0	3,060 (3)	I	By Trust fbo gchild AD
Flagstar Bancorp, Inc. Common Stock	12/24/2018	G	V	1,000	A	\$0	3,060 (3)	I	By Trust fbo gchild DD
Flagstar Bancorp, Inc. Common Stock	12/24/2018	G	V	1,000	A	\$0	2,000 (3)	I	By Trust fbo gchild LG
Flagstar Bancorp, Inc. Common Stock	12/24/2018	G	V	1,000	A	\$0	1,000 (3)	I	By Trust fbo gchild MG
Flagstar Bancorp, Inc. Common Stock	12/24/2018	G	V	10,000	A	\$ 0	10,000	I	By CRUTrust
Flagstar Bancorp, Inc. Common Stock							14,577 <u>(4)</u>	I	By 401(k) Plan
Flagstar Bancorp, Inc. Common Stock							1,274	I	By IRA
							206	I	

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Flagstar
Bancorp,
Trust
Inc.

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (TB32018)	<u>(5)</u>	12/31/2018		M	34,091	<u>(6)</u>	<u>(6)</u>	Flagstar Bancorp, Inc. Common Stock	34,091

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>--</b>	Director	10% Owner	Officer	Other				
DiNello Alessandro C/O FLAGSTAR BANCORP, INC. 5151 CORPORATE DRIVE TROY, MI 48098	X		CEO and President					
Cianaturos								

## **Signatures**

/s/ Christine M Reid, by Power of Attorney for Mr
DiNello
01/03/2019

\*\*Signature of Reporting Person Date

Reporting Owners 3

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were surrendered to the issuer to cover the tax obligations on shares for which restrictions have lapsed.
- On December 24, 2018, the reporting person contributed 10,000 shares of FBC common stock to a charitable remainder unitrust of which the reporting person is a trustee and of which the reporting person and his wife are beneficiaries. The reporting person continues to report beneficial ownership of all of the FBC common stock held by the trust but disclaims beneficial ownership except to the extent of his and his wife's pecuniary interest therein.
- These shares are held in a trust for the benefit of the reporting person's grandchild. The reporting person's spouse is a co-trustee of the trust. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (4) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of Flagstar Bancorp, Inc. stock on the applicable measurement date.
- (5) Each restricted stock unit represents a contingent right to receive one share of Flagstar Bancorp, Inc. Common Stock.
- These Restricted Stock Units were granted on March 20, 2018, and will vest 25 percent each on the last business day of 2018, 2019, 2020 and 2021 calendar years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.