Edgar Filing: Dorne Eric A. - Form 4

| Dorne Eric A | • | | | | | | | | | | |
|---|---|------------|---|---|-------------|-----------------|--|---|---|---|--|
| Form 4 September 21 | . 2018 | | | | | | | | | | |
| FORM Check this if no long | I 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549is box ger 6.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | PPROVAL 3235-0287 January 31, 2005 | |
| subject to Section 16 Form 4 or Form 5 | | | | | | | | | Estimated average burden hours per response 0.8 | | |
| obligation may conti <i>See</i> Instru 1(b). | s Section 17 | (a) of the | | ility Hold | ling Com | ipany | Act o | f 1935 or Sectio | 'n | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Dorne Eric A. | | | 2. Issuer Name and Ticker or Trading Symbol UNITED NATURAL FOODS INC [UNFI] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/19/2018 | | | | | Director 10% Owner Officer (give title Other (specify below) SVP, CAIO | | | |
| INC., 313 IR | ON HORSE W | YAY | | | | | | | | | |
| | | | | If Amendment, Date Original iled(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table | · I - Non-D | erivative (| Secur | ities A <i>c</i> o | uired, Disposed o | f. or Beneficial | llv Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any | | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | | | cquired d of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | | |
| | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 09/19/2018 | | | М | 955 | А | \$ 0 (1) | 6,607 | D | | |
| Common Stock | 09/19/2018 | | | F <u>(2)</u> | 290 | D | \$ 33.3 | 6,317 | D | | |
| Common Stock | | | | | | | | 997 | I | See footnote (3) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Underlying Securities (Instr. 3 and 4) | | 8. Price Derivat Securit (Instr. 5 |
|---|---|---|---|--|--|--|--------------------|--|--|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Unit | <u>(4)</u> | 09/19/2018 | | М | 955 | (5) | (5) | Common Stock | 955 | \$ (|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-----------|-------|--|--|
| Reporting Owner Hume / Humess | Director | 10% Owner | Officer | Other | | |
| Dorne Eric A. C/O UNITED NATURAL FOODS, INC. 313 IRON HORSE WAY PROVIDENCE, RI 02908 | | | SVP, CAIO | | | |
| Signatures | | | | | | |
| Joseph McGrail, Power-of-Attorney, | 09/ | /21/2018 | | | | |

in fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock units convert into common stock on a one-for-one basis. Accordingly, there was no purchase price paid by the reporting (1)person.
- On September 19, 2018, 955 shares of United Natural Foods, Inc. (the "Company") restricted stock units vested. The Company retained (2)290 shares on that date to satisfy certain tax withholding obligations in connection with the vesting.
- Includes 997 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. 401(k) Plan's UNFI Stock (3)Fund as of September 19, 2018.
- Each restricted stock unit represents the right to receive one share of United Natural Foods, Inc. common stock upon vesting in (4) accordance with the terms of the reporting person's restricted stock unit agreement.
- (5) The restricted stock units vest in four equal annual installments beginning on the first anniversary of the date of grant.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.