

DELTA AIR LINES INC /DE/
Form 3/A
October 31, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Smith Joanne D

(Last) (First) (Middle)

C/O DELTA AIR LINES,
INC.,Â P.O. BOX 20574, DEPT.
981

(Street)

ATLANTA,Â GAÂ 30320

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/01/2014

3. Issuer Name **and** Ticker or Trading Symbol
DELTA AIR LINES INC /DE/ [DAL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other
(give title below) (specify below)

EVP & Chief HR Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

10/01/2014

6. Individual or Joint/Group

Filing(Check Applicable Line)
☒ Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

Common Stock

2. Amount of Securities Beneficially Owned
(Instr. 4)

60,884 ⁽¹⁾ ⁽²⁾

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

D Â

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: DELTA AIR LINES INC /DE/ - Form 3/A

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Employee Stock Option (Right to Buy) ⁽²⁾ | Â ⁽³⁾ | 04/29/2017 | Common Stock | 23,000 | \$ 18.84 | D | Â |
| Employee Stock Option (Right to Buy) ⁽²⁾ | Â ⁽³⁾ | 10/28/2018 | Common Stock | 80,000 | \$ 7.99 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Smith Joanne D C/O DELTA AIR LINES, INC. P.O. BOX 20574, DEPT. 981 ATLANTA, GA 30320 | Â | Â | Â EVP & Chief HR Officer | Â |

Signatures

/s/ Jan M. Davidson as attorney-in-fact for Joanne D. Smith 10/31/2014

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The 60,884 common shares reported include 54,326 shares of restricted common stock. Of those restricted shares, (i) 20,190 were granted to the Reporting Person on February 7, 2013 and will vest on February 1, 2015, (ii) 17,946 were granted to the Reporting Person on February 7, 2013 and will vest 50% on February 1, 2015 and 50% on February 1, 2016, and (iii) 16,190 were granted to the Reporting Person on February 6, 2014 and will vest in three equal annual installments on February 1, 2015, 2016 and 2017. The original Form 3 incorrectly reported the initial Amount of Securities Beneficially Owned by the Reporting Person on the Date of Event.
- (1) February 7, 2013 and will vest 50% on February 1, 2015 and 50% on February 1, 2016, and (iii) 16,190 were granted to the Reporting Person on February 6, 2014 and will vest in three equal annual installments on February 1, 2015, 2016 and 2017. The original Form 3 incorrectly reported the initial Amount of Securities Beneficially Owned by the Reporting Person on the Date of Event.
 - (2) The grant of the restricted stock and option awards were approved by the Personnel & Compensation Committee of Delta's Board of Directors and are exempt from Section 16(b) of the Securities Exchange Act of 1934 under Rule 16b-3(d).
 - (3) All options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.