

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND

Form NSAR-A

October 28, 2008

PAGE 1
000 A000000 08/31/2008
000 C000000 0001214935
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 NICHOLAS-APPLGATE CONVERTIBLE & INCOME FUND
001 B000000 811-21284
001 C000000 2127393371
002 A000000 1345 AVENUE OF AMERICAS
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10105
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-17227
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10105
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC
008 B000002 S
008 C000002 801-21442
008 D010002 SAN DIEGO
008 D020002 CA
008 D030002 92101
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-17227
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10105
011 A000001 UBS SECURITIES LLC
011 B000001 8-22651
PAGE 2
011 C010001 STAMFORD
011 C020001 CT
011 C030001 06901
011 A000002 PRUDENTIAL SECURITIES, INC.
011 B000002 8-04060
011 C010002 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

011 C020002 NY
011 C030002 10013
011 A000003 H&R BLOCK FINANCIAL ADVISORS, INC.
011 B000003 8-16752
011 C010003 DETROIT
011 C020003 MI
011 C030003 48206
011 A000004 WACHOVIA CAPITAL MARKETS LLC
011 B000004 8-02612
011 C010004 CHARLOTTE
011 C020004 NC
011 C030004 28202
011 A000005 LEGG MASON WOOD WALKER, INC.
011 B000005 8-15939
011 C010005 BALTIMORE
011 C020005 MD
011 C030005 21202
011 C040005 1476
011 A000006 RBC DAIN RAUSCHER, INC.
011 B000006 8-45411
011 C010006 MINNEAPOLIS
011 C020006 MN
011 C030006 55402
011 A000007 WELLS FARGO SECURITIES LLC
011 B000007 8-35225
011 C010007 SAN FRANCISCO
011 C020007 CA
011 C030007 94104
011 A000008 ADVEST, INC.
011 B000008 8-04159
011 C010008 HARTFORD
011 C020008 CT
011 C030008 06103
012 A000001 PNC GLOBAL INVESTMENT SERVICING
012 B000001 84-58023
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10017
014 A000001 DRESDNER KLEINWORT SECURITIES LLC
014 B000001 8-49647
PAGE 3
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC
014 B000002 8-045406
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000003 8-041811
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC
014 B000004 8-013630
014 A000005 QUESTAR CAPITAL CORP.
014 B000005 8-050174
014 A000006 DELETE
014 A000007 DELETE
014 A000008 DELETE
014 A000009 DELETE
014 A000010 DELETE
014 A000011 DELETE
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 78
019 C000000 ALLIANZGLO
020 A000001 INSTINET LLC
020 B000001 13-2625132
020 C000001 32
020 A000002 LEHMAN BROTHERS HOLDINGS, INC.
020 B000002 13-3216325
020 C000002 26
020 A000003 MERILL LYNCH & CO., INC.
020 B000003 13-2740599
020 C000003 20
020 A000004 LIQUIDNET, INC.
020 B000004 13-4095933
020 C000004 19
020 A000005 CITIGROUP, INC.
020 B000005 52-1568099
020 C000005 17
020 A000006 BANK OF AMERICA
020 B000006 56-2058466
020 C000006 13
020 A000007 JP MORGAN CHASE & CO.
020 B000007 13-2624428
020 C000007 11
020 A000008 WACHOVIA CORP.
020 B000008 56-0898180
020 C000008 10
020 A000009 GOLDMAN SACHS GROUP, INC.
020 B000009 13-4019460
020 C000009 10
PAGE 4
020 A000010 INVESTMENT TECHNOLOGY GROUP INC
020 B000010 13-3757717
020 C000010 10
021 000000 217
022 A000001 CREDIT SUISSE GROUP
022 B000001 13-5659485
022 C000001 89932
022 D000001 19155
022 A000002 LEHMAN BROTHERS HOLDINGS, INC.
022 B000002 13-3216325
022 C000002 68180
022 D000002 25184
022 A000003 WACHOVIA CORP.
022 B000003 56-0898180
022 C000003 52927
022 D000003 2473
022 A000004 BANK OF AMERICA
022 B000004 56-2058466
022 C000004 40638
022 D000004 6940
022 A000005 GOLDMAN SACHS GROUP, INC.
022 B000005 13-4019460
022 C000005 23629
022 D000005 17964
022 A000006 MORGAN STANLEY
022 B000006 36-3145972

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

022 C000006 20987
022 D000006 12104
022 A000007 MIZUHO SECURITIES USA, INC.
022 B000007 36-2880742
022 C000007 28296
022 D000007 0
022 A000008 CITIGROUP, INC.
022 B000008 52-1568099
022 C000008 19457
022 D000008 5653
022 A000009 MERRILL LYNCH & CO., INC.
022 B000009 13-2740599
022 C000009 11518
022 D000009 12557
022 A000010 UBS AG
022 B000010 13-3873456
022 C000010 0
022 D000010 22364
023 C000000 406977
023 D000000 182132
024 000000 Y
025 A000001 BANK OF AMERICA
025 B000001 56-2058466
025 C000001 E
025 D000001 41674
PAGE 5
025 A000002 CREDIT SUISSE GROUP
025 B000002 13-5659485
025 C000002 E
025 D000002 37653
025 A000003 LEHMAN BROTHERS HOLDINGS, INC.
025 B000003 13-3216325
025 C000003 E
025 D000003 34062
025 A000004 WACHOVIA CORP.
025 B000004 56-0898180
025 C000004 E
025 D000004 31792
025 A000005 GOLDMAN SACHS GROUP, INC.
025 B000005 13-4019460
025 C000005 E
025 D000005 19164
025 A000006 CITIGROUP, INC.
025 B000006 52-1568099
025 C000006 E
025 D000006 17854
025 A000007 BANK OF AMERICA
025 B000007 56-2058466
025 C000007 D
025 D000007 15777
025 D000008 0
026 A000000 N
026 B000000 N
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
028 A010000 0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
	PAGE 6	
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.700
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
	PAGE	7	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	N	
054	A000000	Y	
054	B000000	Y	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	Y	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	N	
054	O000000	N	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	Y	
062	B000000	0.0	
062	C000000	0.0	
062	D000000	0.0	
062	E000000	0.0	
062	F000000	0.0	
062	G000000	0.0	
062	H000000	0.0	
062	I000000	0.0	
062	J000000	2.0	
062	K000000	0.0	
062	L000000	0.0	
062	M000000	5.0	
062	N000000	0.0	
	PAGE	8	
062	O000000	0.0	
062	P000000	70.1	
062	Q000000	20.3	
062	R000000	66.4	

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

063	A000000	0
063	B000000	7.6
064	A000000	N
064	B000000	Y
065	000000	N
066	A000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	Y
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	N
070	M010000	N
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	467948
	PAGE	9
071	B000000	427680
071	C000000	1344330
071	D000000	32
072	A000000	6
072	B000000	37341
072	C000000	31892
072	D000000	0
072	E000000	454
072	F000000	4895
072	G000000	0
072	H000000	0
072	I000000	18
072	J000000	95
072	K000000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

072 L000000	103
072 M000000	54
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	35
072 S000000	6
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	724
072 X000000	5930
072 Y000000	0
072 Z000000	63757
072AA000000	0
072BB000000	60570
072CC010000	0
072CC020000	39767
072DD010000	53769
072DD020000	0
072EE000000	0
073 A010000	0.7500
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	67
074 B000000	0
074 C000000	15777
074 D000000	764652
074 E000000	532170
074 F000000	0
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	9747
074 K000000	0
PAGE 10	
074 L000000	22792
074 M000000	29
074 N000000	1345234
074 O000000	8484
074 P000000	789
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	9543
074 S000000	525000
074 T000000	801418
074 U010000	71960
074 U020000	0
074 V010000	11.14
074 V020000	0.00
074 W000000	0.0000
074 X000000	116
074 Y000000	0
075 A000000	0
075 B000000	862111
076 000000	11.58
077 A000000	Y
077 B000000	N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-A

077 C000000 Y
077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000 0
081 B000000 0
082 B000000 0
083 B000000 0
084 B000000 0
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
PAGE 11
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 65370F101
087 A030000 NCV
087 B010000 PREFERRED SHARES SERIES A
087 B020000 65370F200
087 C010000 PREFERRED SHARES SERIES B
087 C020000 65370F309
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE LAWRENCE ALTADONNA
TITLE TREASURER & CEO