### Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4/A

ESTEE LAUDER COMPANIES INC Form 4/A September 10, 2013

Check this box if no longer subject to Section 16. SECURITIES								OMB AF OMB Number:	PROVAL 3235-0287		
								Expires: Estimated a burden hour response	•		
LAUDER JANE Symbol				r Name <b>and</b> Ticker or Trading LAUDER COMPANIES				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date of (Month/D) C/O THE ESTEE LAUDER 09/04/20 COMPANIES INC., 767 FIFTH AVENUE			•				Officer (give title 10% Owner Officer (give title Other (specify below)				
			ndment, Date Original hth/Day/Year) 013				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any		3. Transactio Code (Instr. 8) Code V	(Instr. 3, Amount	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	09/04/2013			F <u>(1)</u>	3,665 (2)	D	67.31	16,657	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 67.31 (4)	09/04/2013		А	12,661	(5)	09/04/2023	Class A Common Stock	12,661
Restricted Stock Units (Share Payout)	\$ 0 <u>(6)</u>	09/04/2013		А	4,213 (7)	(8)	(8)	Class A Common Stock	4,213 (7)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
LAUDER JANE C/O THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153	х					
Signatures						
Jane Lauder, by Maureen Sladek, Attorney-in-fact	09/10/2013					
**Signature of Reporting Person	I	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the withholding of shares for tax purposes in connection with the payout of Performance Share Units ("PSUs").
- (2) Reflects amended number of shares withheld for tax purposes in connection with payout of PSUs.
- (3) Reflects amended price of Class A Common Stock.
- (4) Reflects amended exercise price.
- (5) Stock options granted pursuant to Fiscal 2002 Share Incentive Plan in respect of 4,220 shares exercisable from and after January 1, 2015;
   4,220 shares exercisable from and after January 1, 2016; 4,221 shares exercisable from and after January 1, 2017.

(6)

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Not applicable. Restricted Stock Units ("RSUs") vest and are paid out in shares of Class A Common Stock on a one-to-one basis on the applicable vesting date.

(7) Reflects amended number of RSUs awarded.

Assuming continued employment, the RSUs granted on September 4, 2013 will vest and be paid out as follows: 1,404 on October 31, 2014; 1,404 on November 2, 2015; and 1,405 on October 31, 2016. Assuming continued employment, the RSUs held by the Reporting

(8) Person will vest and be paid out as follows: 4,415 on October 31, 2013; 3,843 on October 31, 2014; 2,533 on November 2, 2015; and 1,405 on October 31, 2016. Upon payout, shares will be withheld to cover minimum statutory tax obligations. RSUs are accompanied by dividend equivalent rights that will be payable in cash at the time of payout of the related shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.