

GATES SIGNE S  
Form 4  
September 08, 2009

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GATES SIGNE S

2. Issuer Name and Ticker or Trading Symbol  
BARNES GROUP INC [B]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

BARNES GROUP INC., 123 MAIN STREET

3. Date of Earliest Transaction (Month/Day/Year)  
09/04/2009

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Sr. VP, Gen. Counsel & Sec.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

BRISTOL, CT 06011-0489

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	09/04/2009		M		15,100 (1) A \$ 14.77 0	D	
Common Stock	09/04/2009		M		9,666 (1) A \$ 14.5575 0	D	
Common Stock	09/04/2009		M		2,810 (1) A \$ 14.495 0	D	
Common Stock	09/04/2009		M		4,226 (1) A \$ 13.6 0	D	
Common Stock	09/04/2009		M		11,198 (1) A \$ 13.3875 0	D	

Edgar Filing: GATES SIGNE S - Form 4

Common Stock    09/04/2009    S    43,000 <sup>(1)</sup>    D    \$ 15.0837    108,783.539 <sup>(2)</sup>    D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**    SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stk Option-Right to Buy	\$ 14.77	09/04/2009		M	15,100	<sup>(3)</sup> 02/11/2014	Common Stock	15,100
Employee Stk Option-Right to Buy	\$ 14.5575	09/04/2009		M	9,666	<sup>(5)</sup> 02/05/2012	Common Stock	9,666
Employee Stk Option-Right to Buy	\$ 14.495	09/04/2009		M	2,810	<sup>(6)</sup> 02/06/2011	Common Stock	2,810
Employee Stk Option-Right to Buy	\$ 13.6	09/04/2009		M	4,226	<sup>(7)</sup> 02/06/2011	Common Stock	4,226
Employee Stk Option-Right to Buy	\$ 13.3875	09/04/2009		M	11,198	<sup>(8)</sup> 02/06/2011	Common Stock	11,198

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GATES SIGNE S BARNES GROUP INC. 123 MAIN STREET			Sr. VP, Gen. Counsel & Sec.	

BRISTOL, CT 06011-0489

## Signatures

Signe S. Gates

09/08/2009

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction is made in accordance with a 10b5-1 Plan.

(2) Includes 3332 restricted stock units from 2/15/06 grant, 3596 from 2/14/07 grant, 4500 from 2/13/08 grant and 9700 from 2/10/09 grant, and balances of 1800 performance share awards from 2/14/07 grant and 3000 from 2/13/08 grant, that are subject for forfeiture if certain events occur.

(3) The options fully vested on 8/11/2008.

(4) 1 for 1.

(5) The options vested 100% immediately on 4/29/2005.

(6) The options vested 100% immediately on 10/29/2003.

(7) The options vested 100% immediately on 4/29/2004.

(8) The options vested 100% immediately on 2/26/2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.