

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

TRI CONTINENTAL CORP
Form N-23C-1
December 12, 2001

1 PROCESS DATE 11/30/01 MONTHLY FORM 23C-1 REPORT PAGE NO. 1
BMFR479-1-M-01

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PRICE DATE 10/17/01

0

	ORDER	COST	ORDER
REGISTRATION	SHARES	PRICE	DOLL
0 WGB COMPANY	4,500.000	19.640	88,380
0 INVESTORS FIDUCIARY TRUST CO TTEE	56.820	19.640	1,115
THE J & W SELIGMAN & CO., INC			
	-----		-----
PRICE DATE TOTALS	4,556.820		89,495

1 PROCESS DATE 11/30/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

	ORDER	COST	ORD
REGISTRATION	SHARES	PRICE	DOLL
0 AMY E DAVIDSON	565.590	19.530	11,045
	-----		-----
PRICE DATE TOTALS	565.590		11,045

1 PROCESS DATE 11/30/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

	ORDER	COST	ORD
REGISTRATION	SHARES	PRICE	DOLL
0 STATE STREET BANK AND TRUST CO CUST	154.251	19.060	2,940
0 INVESTORS FIDUCIARY TRUST CO TTEE	746.123	19.060	14,221
THE J & W SELIGMAN & CO., INC			
0 INVESTORS FIDUCIARY TRUST CO TTEE	23.911	19.060	455
THE SELIGMAN DATA CORP			
	-----		-----
PRICE DATE TOTALS	924.285		17,616

1 PROCESS DATE 11/30/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

	ORDER	COST	ORD
REGISTRATION	SHARES	PRICE	DOLL
0 LAMAR J JARRARD &	25.959	19.300	501
0 BERNARD CROOM	51.865	19.300	1,001
0 FRANCES W FOLEY	25.959	19.300	501
0 PETER STRAUS	51.865	19.300	1,001
0 JONATHAN MARK STRAUS	25.959	19.300	501
0 ROBERT C DOWNING	103.679	19.300	2,001
0 STATE STREET BANK AND TRUST CO CUST	81.300	19.300	1,569
0 STATE STREET BANK AND TRUST CO CUST	40.207	19.300	776
0 H LEE MUNSON AND	1.223	19.300	23
0 STATE STREET BANK AND TRUST CO CUST	259.889	19.300	5,015
0 STATE STREET BANK AND TRUST CO CUST	10.847	19.300	209
0 RALEIGH A HUGHES JR &	25.959	19.300	501
0 JACK BRAIMAN TTEE	5.233	19.300	101
0 STATE STREET BANK AND TRUST CO CUST	88.070	19.300	1,699
0 WILLIAM P RZEPECKI &	77.772	19.300	1,501
0 CAROL PARISI &	25.959	19.300	501

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	STATE STREET BANK AND TRUST CO CUST	62.228	19.300	1,201
0	DIANE THILMONT	15.596	19.300	301
0	STATE STREET BANK AND TRUST CO CUST	64.819	19.300	1,251
0	RUTH J JACOBS &	20.777	19.300	401
0	STATE STREET BANK AND TRUST CO CUST	326.858	19.300	6,308
0	STATE STREET BANK AND TRUST CO CUST	10.415	19.300	201
0	JACK M CHERTOCK &	10.415	19.300	201
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	SCHUYLER HOLLINGSWORTH JR &	51.865	19.300	1,001
0	STATE STREET BANK AND TRUST CO CUST	103.679	19.300	2,001
0	LOUIS A WEHRLE &	62.228	19.300	1,201
0	IVAN E ANDERSON	10.415	19.300	201
0	MARI REBANE MCGEE TTEE	18.187	19.300	351
0	STATE STREET BANK AND TRUST CO CUST	310.933	19.300	6,001
0	STATE STREET BANK & TRUST CUST	1,012.647	19.300	19,544
		-----		-----
	PRICE DATE TOTALS	2,982.807		57,568
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	STATE STREET BANK AND TRUST CO CUST	101.420	19.720	2,000
0	JOHN N LICARI CUST	50.710	19.720	1,000
0	RUTH BERNSTEIN	239.468	19.720	4,722
0	DOLORES H LEVY	76.065	19.720	1,500
0	EDWARD J HAMPER &	40.000	19.720	788
0	STATE STREET BANK AND TRUST CO CUST	111.562	19.720	2,200
0	ARTHUR N YOHAI	2,535.497	19.720	50,000
0	OSCAR J KAPLAN TR ECONOMIC	7.789	19.720	153
0	ROBERT RHEINSTEIN	1,000.255	19.720	19,725
0	SUSAN M VERITY	152.130	19.720	3,000
0	OSCAR J KAPLAN TR	19.149	19.720	377
0	NANCY L BAILIE	300.000	19.720	5,916
0	STATE STREET BANK AND TRUST CO CUST	253.550	19.720	5,000
0	ANNE DEVLIN	40.568	19.720	800
0	STATE STREET BANK AND TRUST CO CUST	352.345	19.720	6,948
0	STATE STREET BANK AND TRUST CO CUST	1,774.848	19.720	35,000
0	WILLIAM F PFEIFER III	380.325	19.720	7,500
0	ECONOMIC BEHAVIOR ANALYSTS	54.912	19.720	1,082
0	JAMES P CUMMINGS &	907.352	19.720	17,892
0	STATE STREET BANK AND TRUST CO CUST	31,994.319	19.720	630,927
0	STATE STREET BANK AND TRUST CO CUST	40.000	19.720	788
0	STATE STREET BANK AND TRUST CO CUST	100.000	19.720	1,972
0	RICHARD B MERIWETHER JR	2,535.497	19.720	50,000
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	OSCAR J KAPLAN & ROSE Z KAPLAN TR	4.975	19.720	98
0	STATE STREET BANK AND TRUST CO CUST	4,573.288	19.720	90,185
0	MICHAEL ADELSON CUST	597.583	19.720	11,784
0	INVESTORS FIDUCIARY TRUST CO TTEE	15.537	19.720	306
	THE J & W SELIGMAN & CO., INC			

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	P LAWRENCE HESTER	61.024	19.720	1,203
0	JEFFREY ANDREW MATTON	800.000	19.720	15,776
0	BETTY Z BRANDWEIN	1,961.118	19.720	38,673
0	HERBERT A BRANDWEIN TTEE	1,454.208	19.720	28,676
0	STEPHEN T MICOLEAU TR UA 9/22/94	1,587.129	19.720	31,298
0	CHUCK O'BRYAN &	304.260	19.720	6,000
0	LEZLEY TAYLOR	126.775	19.720	2,500
0	MARY F KENT &	686.266	19.720	13,533
0	KAREN E MANSON	30.000	19.720	591
0	IOWA NATURAL HERITAGE FOUNDATION	2,328.803	19.720	45,924
0	SHIRLEY J WHITSITT	557.809	19.720	11,000
0	RICHARD H RARING &	4,500.000	19.720	88,740
0	ST JOSEPH MANOR HEALTH CARE INC	507.099	19.720	10,000
0	STATE STREET BANK AND TRUST CO CUST	10.000	19.720	197
0	STATE STREET BANK AND TRUST CO CUST	119.155	19.720	2,349
0	MARIE E JAEGER TR	350.797	19.720	6,917
0	ELIZABETH A DAFFRON	50.710	19.720	1,000
0	BARBARA BALLINGER	12.471	19.720	245
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	DONALD W DAVIS & DIANE	630.000	19.720	12,423
0	STATE STREET BANK AND TRUST CO CUST	380.325	19.720	7,500
0	SIDNEY N MORSE JR TTEE	55,662.393	19.720	1,097,662
0	DANIEL F LYON &	3.455	19.720	68
0	SCOTT SHIVELY	199.925	19.720	3,942
		-----	-----	-----
	PRICE DATE TOTALS	120,582.866		2,377,894
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	MYRON E GOLDBLATT JR	2,000.000	20.110	40,220
0	CHERYL KLING TRUSTEE FOR	4,372.116	20.110	87,923
0	JOHN ROBERT HENRY	174.043	20.110	3,500
0	ELIZABETH T MC ENTEE	497.265	20.110	10,000
0	JOMA MACHINERY CO LTD	1,500.000	20.110	30,165
0	ANNE THOMAS GOUGER	26.715	20.110	537
0	FREDERICK J HICKS	395.897	20.110	7,961
0	J ALAN TUBBS	108.598	20.110	2,183
0	MICHAEL W RICH	76.990	20.110	1,548
0	STATE STREET BANK AND TRUST CO CUST	4,758.749	20.110	95,698
0	STATE STREET BANK AND TRUST CO CUST	919.940	20.110	18,500
0	WILLIAM M CRYAN CUST	994.530	20.110	20,000
0	STATE STREET BANK AND TRUST CO CUST	586.773	20.110	11,800
0	STATE STREET BANK AND TRUST CO CUST	10.716	20.110	215
0	PIETER REGINALD VAN STOLK	440.640	20.110	8,861
0	JANE HARDING CUST	325.626	20.110	6,548
0	JANE W HARDING	222.070	20.110	4,465
0	LINDA C TIDWELL	149.180	20.110	3,000
0	STATE STREET BANK AND TRUST CO CUST	1,542.072	20.110	31,011
0	JOSEPH P MITTIGA &	4,000.000	20.110	80,440
0	JEAN L MITTIGA &	4,000.000	20.110	80,440
0	RICHARD WILSON POHL CUST	1,029.922	20.110	20,711
0	LEON M GREENSTEIN	497.735	20.110	10,009
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
		ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	MICHAEL J HURLEY	75.000	20.110	1,508
0	STATE STREET BANK AND TRUST CO CUST	149.180	20.110	3,000
0	BRETT ROBERT PEARSONS	300.000	20.110	6,033
0	THEORDOR G GLEICHMAN	1,098.775	20.110	22,096
0	STATE STREET BANK AND TRUST CO CUST	477.518	20.110	9,602
0	RICHARD WILSON POHL CUST	810.754	20.110	16,304
0	INVESTORS FIDUCIARY TRUST CO TTEE	196.538	20.110	3,952
	THE J & W SELIGMAN & CO., INC			
0	INVESTORS FIDUCIARY TRUST CO TTEE	24.863	20.110	500
	THE SELIGMAN DATA CORP			
0	JIM ENG &	1,505.690	20.110	30,279
0	STATE STREET BANK AND TRUST CO CUST	46.248	20.110	930
0	ANDY AGUIRRE &	99.453	20.110	2,000
0	DINA EHRLICH	1,379.804	20.110	27,747
0	JOSEPH A FRANEY	27.350	20.110	550
0	BLANCHE L CLAASSEN &	75.000	20.110	1,508
0	LANCE MILLSPAUGH &	335.000	20.110	6,736
0	RODETTA CLAIRE HASKELL	28.012	20.110	563
0	BETTY ANN FAIR	237.190	20.110	4,769
0	LANCE C MILLSPAUGH &	46.000	20.110	925
0	LANCE C MILLSPAUGH &	630.000	20.110	12,669
0	LANCE C MILLSPAUGH &	378.000	20.110	7,601
0	JUNG-JA YOON	84.866	20.110	1,706
0	NATHANIEL BOOTH EXEC	5,914.854	20.110	118,947
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
		ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	CHARLES C GIBSON III	600.590	20.110	12,077
0	ESTATE OF FLORA E CHRISMAN	169.336	20.110	3,405
0	DENNIS M FITZGERALD	367.964	20.110	7,399
0	VADA A BOULWARE TTEE	630.789	20.110	12,685
	PRICE DATE TOTALS	44,318.351		891,242
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
		ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	STATE STREET BANK AND TRUST CO CUST	31.480	20.100	632
0	STATE STREET BANK AND TRUST CO CUST	7.442	20.100	149
0	STATE STREET BANK AND TRUST CO CUST	174.129	20.100	3,500
0	STATE STREET BANK AND TRUST CO CUST	10.000	20.100	201
0	JOEL J RE	7.513	20.100	151
0	HAROLD G WRIGHT &	248.806	20.100	5,001
0	MARIE H BLISS TR UA 11/4/88	49.801	20.100	1,001
0	MARGUERITE HOBBIE &	99.552	20.100	2,001
0	STATE STREET BANK AND TRUST CO CUST	8.247	20.100	165
0	ROSELLE G FRIEDMAN	298.557	20.100	6,001
0	NORMA C ATKINSON	24.926	20.100	501
0	H GORDON CHRISTIAN & JEAN	12.488	20.100	251
0	JULIA G HASSON	39.851	20.100	801
0	RAYMOND L HAWTHORNE	49.801	20.100	1,001
0	THOMAS HOCK	64.727	20.100	1,301
0	DOUGLAS IRWIN & CAROL	7.513	20.100	151

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	THELMA J PROUDFIT	223.931	20.100	4,501
0	WILLIAM L PROUDFIT	223.931	20.100	4,501
0	WILLIAM M SCHLEIER	49.801	20.100	1,001
0	STATE STREET BANK AND TRUST CO CUST	82.140	20.100	1,651
0	DANIEL M WILSON &	149.304	20.100	3,001
0	JOEL P CHERRY	7.513	20.100	151
0	STATE STREET BANK AND TRUST CO CUST	5.025	20.100	101
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	THOMAS E HAVEN	298.557	20.100	6,001
0	STATE STREET BANK AND TRUST CO CUST	177.542	20.100	3,568
0	GAIL R INGRAM	19.950	20.100	401
0	STATE STREET BANK AND TRUST CO CUST	105.445	20.100	2,119
0	KITTY FLETCHER	49.801	20.100	1,001
0	STATE STREET BANK AND TRUST CO CUST	7.513	20.100	151
0	STATE STREET BANK AND TRUST CO CUST	64.649	20.100	1,299
0	STATE STREET BANK AND TRUST CO CUST	17.393	20.100	349
0	STATE STREET BANK AND TRUST CO CUST	124.756	20.100	2,507
0	STATE STREET BANK AND TRUST CO CUST	340.270	20.100	6,839
0	STATE STREET BANK AND TRUST CO CUST	246.230	20.100	4,949
0	STATE STREET BANK AND TRUST CO CUST	24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST	48.772	20.100	980
0	STATE STREET BANK AND TRUST CO CUST	124.428	20.100	2,501
0	STATE STREET BANK AND TRUST CO CUST	34.876	20.100	701
0	STATE STREET BANK AND TRUST CO CUST	29.901	20.100	601
0	STATE STREET BANK AND TRUST CO CUST	59.751	20.100	1,201
0	STATE STREET BANK AND TRUST CO CUST	35.050	20.100	704
0	STATE STREET BANK AND TRUST CO CUST	50.334	20.100	1,011
0	STATE STREET BANK AND TRUST CO CUST	52.187	20.100	1,048
0	STATE STREET BANK AND TRUST CO CUST	39.851	20.100	801
0	STATE STREET BANK AND TRUST CO CUST	19.950	20.100	401
0	STATE STREET BANK AND TRUST CO CUST	425.330	20.100	8,549
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	STATE STREET BANK AND TRUST CO CUST	30.980	20.100	622
0	RUTH ANNE HERTERICH	3.731	20.100	75
0	STANLEY E GWYNN &	149.304	20.100	3,001
0	MARGARET DALE SEABROOK	223.931	20.100	4,501
0	RONALD C SILBERG	49.801	20.100	1,001
0	WILLIAM J SCHLACTER	10.000	20.100	201
0	ALBERT HANEN &	99.552	20.100	2,001
0	STATE STREET BANK AND TRUST CO CUST	49.801	20.100	1,001
0	STATE STREET BANK AND TRUST CO CUST	6.518	20.100	131
0	STATE STREET BANK AND TRUST CO CUST	333.152	20.100	6,696
0	STATE STREET BANK AND TRUST CO CUST	18.707	20.100	376
0	STATE STREET BANK AND TRUST CO CUST	124.428	20.100	2,501
0	ELISABETH H BROOKS	49.801	20.100	1,001
0	STATE STREET BANK AND TRUST CO CUST	497.562	20.100	10,001
0	MARINETTE D PUTNAM	49.801	20.100	1,001
0	PHYLLIS TROY	17.463	20.100	351
0	PEGGY LANGE	37.363	20.100	751
0	L BENTON AUSTIN &	10.000	20.100	201
0	STATE STREET BANK AND TRUST CO CUST	1.720	20.100	34
0	STATE STREET BANK AND TRUST CO CUST	49.801	20.100	1,001

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	STATE STREET BANK AND TRUST CO CUST	26.064	20.100	523
0	STATE STREET BANK AND TRUST CO CUST	463.552	20.100	9,317
0	STATE STREET BANK AND TRUST CO CUST	12.895	20.100	259
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0	REGISTRATION	ORDER SHARES	COST PRICE	ORD DOLL
0	STATE STREET BANK AND TRUST CO CUST	168.737	20.100	3,391
0	STATE STREET BANK AND TRUST CO CUST	28.473	20.100	572
0	STATE STREET BANK AND TRUST CO CUST	49.801	20.100	1,001
0	STATE STREET BANK AND TRUST CO CUST	10.000	20.100	201
0	STATE STREET BANK AND TRUST CO CUST	86.251	20.100	1,733
0	STATE STREET BANK AND TRUST CO CUST	164.229	20.100	3,301
0	STATE STREET BANK AND TRUST CO CUST	17.521	20.100	352
0	STATE STREET BANK AND TRUST CO CUST	15.793	20.100	317
0	STATE STREET BANK AND TRUST CO CUST	150.321	20.100	3,021
0	STATE STREET BANK AND TRUST CO CUST	4,806.005	20.100	96,600
0	STATE STREET BANK AND TRUST CO CUST	187.558	20.100	3,769
0	STATE STREET BANK AND TRUST CO CUST	65.814	20.100	1,322
0	STATE STREET BANK AND TRUST CO CUST	2.040	20.100	41
0	STATE STREET BANK AND TRUST CO CUST	27.413	20.100	551
0	ROBERT W LESSEUER	10.000	20.100	201
0	STATE STREET BANK AND TRUST CO CUST	46.033	20.100	925
0	STATE STREET BANK AND TRUST CO CUST	22.824	20.100	458
0	LILLIE MAE SMITH	34.876	20.100	701
0	THEODORE I BALLARD	4.030	20.100	81
0	STATE STREET BANK AND TRUST CO CUST	33.391	20.100	671
0	STATE STREET BANK AND TRUST CO CUST	24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST	398.158	20.100	8,002
0	STATE STREET BANK AND TRUST CO CUST	4.688	20.100	94
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0	REGISTRATION	ORDER SHARES	COST PRICE	ORD DOLL
0	CAROL KAHN	10.000	20.100	201
0	STATE STREET BANK AND TRUST CO CUST	20.404	20.100	410
0	STATE STREET BANK AND TRUST CO CUST	10.498	20.100	211
0	STATE STREET BANK AND TRUST CO CUST	98.047	20.100	1,970
0	STATE STREET BANK AND TRUST CO CUST	40.541	20.100	814
0	STATE STREET BANK AND TRUST CO CUST	7.513	20.100	151
0	STATE STREET BANK AND TRUST CO CUST	49.751	20.100	1,000
0	STATE STREET BANK AND TRUST CO CUST	226.901	20.100	4,560
0	STATE STREET BANK AND TRUST CO CUST	37.371	20.100	751
0	STATE STREET BANK AND TRUST CO CUST	6.493	20.100	130
0	STATE STREET BANK AND TRUST CO CUST	39.851	20.100	801
0	STATE STREET BANK AND TRUST CO CUST	19.900	20.100	400
0	STATE STREET BANK AND TRUST CO CUST	14.925	20.100	300
0	STATE STREET BANK AND TRUST CO CUST	74.677	20.100	1,501
0	BERTRAND J FAY JR	19.950	20.100	401
0	PEGGY HANCOCK	7.513	20.100	151
0	STATE STREET BANK AND TRUST CO CUST	24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST	24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST	14.921	20.100	299
0	STATE STREET BANK AND TRUST CO CUST	6.122	20.100	123
0	STATE STREET BANK AND TRUST CO CUST	2.538	20.100	51
0	MIN NATHAN	10.000	20.100	201
0	STATE STREET BANK AND TRUST CO CUST	76.635	20.100	1,540
1	PROCESS DATE 11/30/01	MONTHLY FORM 23C-1 REPORT		

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

076-SELIGMAN GROUP OF FUNDS

				097-TRI-CONTINENTAL CORPORATION - COMMON		PR
				ORDER	COST	ORD
				SHARES	PRICE	DOLL
0	REGISTRATION					
0	STATE STREET BANK AND TRUST CO CUST			49.801	20.100	1,001
0	STATE STREET BANK AND TRUST CO CUST			12.488	20.100	251
0	STATE STREET BANK AND TRUST CO CUST			34.876	20.100	701
0	STATE STREET BANK AND TRUST CO CUST			32.388	20.100	651
0	STATE STREET BANK AND TRUST CO CUST			24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST			14.975	20.100	301
0	STATE STREET BANK AND TRUST CO CUST			38.329	20.100	770
0	STATE STREET BANK AND TRUST CO CUST			31.046	20.100	624
0	STATE STREET BANK AND TRUST CO CUST			1,343.334	20.100	27,001
0	STATE STREET BANK AND TRUST CO CUST			29.901	20.100	601
0	STATE STREET BANK AND TRUST CO CUST			29.735	20.100	597
0	STATE STREET BANK AND TRUST CO CUST			37.363	20.100	751
0	STATE STREET BANK AND TRUST CO CUST			39.851	20.100	801
0	STATE STREET BANK AND TRUST CO CUST			10.000	20.100	201
0	STATE STREET BANK AND TRUST CO CUST			73.614	20.100	1,479
0	EVELYN G CRAIG &			24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST			15.351	20.100	308
0	JOHN M THRESS &			24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST			2.040	20.100	40
0	STATE STREET BANK AND TRUST CO CUST			23.172	20.100	465
0	ANN V ELMQUIST &			24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST			24.926	20.100	501
0	JULIUS MAYER &			10.000	20.100	201
1	PROCESS DATE	11/30/01		MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

				097-TRI-CONTINENTAL CORPORATION - COMMON		PR
				ORDER	COST	ORD
				SHARES	PRICE	DOLL
0	REGISTRATION					
0	RALPH K B CLAY			109.503	20.100	2,201
0	STATE STREET BANK AND TRUST CO CUST			14.975	20.100	301
0	ROBERT M SUGERMAN			49.801	20.100	1,001
0	STANLEY A MACBETH			59.751	20.100	1,201
0	KATHRYN LEE SYMINGTON			24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST			20.018	20.100	402
0	STATE STREET BANK AND TRUST CO CUST			99.502	20.100	2,000
0	LORRAINE J BARBER			36.269	20.100	729
0	STATE STREET BANK AND TRUST CO CUST			276.506	20.100	5,557
0	STATE STREET BANK AND TRUST CO CUST			21.209	20.100	426
0	STATE STREET BANK AND TRUST CO CUST			440.178	20.100	8,847
0	STATE STREET BANK AND TRUST CO CUST			26.961	20.100	541
0	STATE STREET BANK AND TRUST CO CUST			67.824	20.100	1,363
0	FREDERICK F FORDON TR U/A 2/4/92			66.219	20.100	1,331
0	MONTCALM LACOMBE &			24.926	20.100	501
0	STATE STREET BANK AND TRUST CO CUST			125.092	20.100	2,514
0	STATE STREET BANK AND TRUST CO CUST			60.647	20.100	1,219
0	STATE STREET BANK AND TRUST CO CUST			13.343	20.100	268
0	STATE STREET BANK AND TRUST CO CUST			140.489	20.100	2,823
0	STATE STREET BANK AND TRUST CO CUST			46.390	20.100	932
0	ROBERT T WRAY &			39.851	20.100	801
0	STATE STREET BANK AND TRUST CO CUST			33.800	20.100	679
0	STATE STREET BANK AND TRUST CO CUST			149.304	20.100	3,001
1	PROCESS DATE	11/30/01		MONTHLY FORM 23C-1 REPORT		

076-SELIGMAN GROUP OF FUNDS

				097-TRI-CONTINENTAL CORPORATION - COMMON		PR
				ORDER	COST	ORD

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

	SHARES	PRICE	DOLL
REGISTRATION			
0 WILLARD P FULLER JR TTEE	19.950	20.100	401
0 BETTY J WILHELM	24.926	20.100	501
0 STATE STREET BANK AND TRUST CO CUST	29.480	20.100	592
0 YVONNE D HARVEY &	19.950	20.100	401
0 SOPHIE CUTLER &	24.926	20.100	501
0 LOUIS CUTLER &	24.926	20.100	501
0 HORTENSE BERZOWSKI &	69.702	20.100	1,401
0 STATE STREET BANK AND TRUST CO CUST	29.901	20.100	601
0 LEONARD F LA BELLE &	39.851	20.100	801
0 STATE STREET BANK AND TRUST CO CUST	228.942	20.100	4,601
0 STATE STREET BANK AND TRUST CO CUST	190.719	20.100	3,833
0 STATE STREET BANK AND TRUST CO CUST	26.743	20.100	537
0 STATE STREET BANK AND TRUST CO CUST	398.041	20.100	8,000
0 STATE STREET BANK AND TRUST CO CUST	24.926	20.100	501
0 DOUGLAS C VEZINA TR UA 12/30/91	10.000	20.100	201
0 STATE STREET BANK AND TRUST CO CUST	10.000	20.100	201
0 STATE STREET BANK AND TRUST CO CUST	59.226	20.100	1,190
0 FLORENCE K JONES	3.781	20.100	76
0 WILLIAM C MOYER & VIOLA J MCCREARY	99.552	20.100	2,001
0 STATE STREET BANK AND TRUST CO CUST	99.502	20.100	2,000
0 STATE STREET BANK AND TRUST CO CUST	37.363	20.100	751
0 MARIE THERESE BERGMAN &	7.513	20.100	151
0 ALAN K L SCROGGIE &	14.975	20.100	301
1 PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON

	ORDER	COST	PR
REGISTRATION			
0 HELEN T PAGE TR UA 06/18/96	8.756	20.100	176
0 RIDLER W PAGE TR UA 06/18/96	16.219	20.100	326
0 STATE STREET BANK AND TRUST CO CUST	69.702	20.100	1,401
0 STATE STREET BANK AND TRUST CO CUST	57.264	20.100	1,151
0 BONNIE J JONES	174.179	20.100	3,501
0 STATE STREET BANK AND TRUST CO CUST	13.090	20.100	263
0 STATE STREET BANK AND TRUST CO CUST	34.876	20.100	701
0 ROBERT V D BOOTH TR UA 01/29/91	39.851	20.100	801
0 STATE STREET BANK AND TRUST CO CUST	8.595	20.100	172
0 STATE STREET BANK AND TRUST CO CUST	8.691	20.100	174
0 ELAINE C LOWEKE TR UA 7-01-91	74.677	20.100	1,501
0 ELSIE JO TRAWICK	124.428	20.100	2,501
0 DONALD E LAYTON	10.000	20.100	201
0 STATE STREET BANK AND TRUST CO CUST	10.000	20.100	201
0 STATE STREET BANK AND TRUST CO CUST	28.402	20.100	570
0 RAYMOND M PLEHN &	10.000	20.100	201
0 FW GOOCH TR UA 01/12/96	49.801	20.100	1,001
0 LEONARD F LA BELLE &	32.388	20.100	651
0 ROBERT H PENNOCK TR	2.538	20.100	51
0 ROBERT B KIMSEY &	10.000	20.100	201
0 STATE STREET BANK AND TRUST CO CUST	39.851	20.100	801
0 JOHN R STERLING TOD ELIZABETH	7.513	20.100	151
0 CHARLES M MARSHALL	12.488	20.100	251
1 PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON

	ORDER	COST	PR
REGISTRATION			
0 MARY E HOBACK	19.950	20.100	401
0 STATE STREET BANK AND TRUST CO CUST	44.826	20.100	901
0 ARTHUR H DELANEY &	24.926	20.100	501

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	STATE STREET BANK AND TRUST CO CUST	24.926	20.100	501
0	FRANCIS T ROSENDALE TOD	74.677	20.100	1,501
0	GEORGE ADELEWITZ &	7.513	20.100	151
0	STATE STREET BANK AND TRUST CO CUST	49.801	20.100	1,001
0	JOE STEPHEN BEEBE	6.269	20.100	126
0	RONALD B EDGERTON TTEE	74.677	20.100	1,501
0	LEVERT J ABLE	99.552	20.100	2,001
0	ANN HARLESS TTEE	39.851	20.100	801
0	EDWARD D SCHULTZ TTEE	10.000	20.100	201
0	NELL N RING &	17.513	20.100	352
0	STATE STREET BANK & TRUST CO CUST	2.029	20.100	40
0	SUSAN PINCHOT	5.025	20.100	101
0	TINA GIANAKIS	74.677	20.100	1,501
0	STATE STREET BANK AND TRUST CO CUST	37.363	20.100	751
0	H WINFIELD RUSSELL TTEE	14.975	20.100	301
0	DOROTHY MAE STEINKAMP TTEE	24.926	20.100	501

 20,685.210

 415,771

1 PROCESS DATE 11/30/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

0	REGISTRATION	ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	WILFRED I BUTTERFIELD III	350.000	20.000	7,000
0	STATE STREET BANK AND TRUST CO CUST	758.565	20.000	15,171
0	WAYNE DEWEESE	1,250.000	20.000	25,000
0	PAUL RICHARD HEIMANN	500.000	20.000	10,000
0	ARNOLD F JOHANNY &	19.000	20.000	380
0	STATE STREET BANK AND TRUST CO CUST	310.029	20.000	6,200
0	STATE STREET BANK AND TRUST CO CUST	500.000	20.000	10,000
0	STATE STREET BANK AND TRUST CO CUST	36.506	20.000	730
0	CYNTHIA G JOHNSON CUST	79.544	20.000	1,590
0	ANNE DEVLIN	50.000	20.000	1,000
0	BARBARA L RENNER CUST	200.000	20.000	4,000
0	CAROL E SAMUEL	311.762	20.000	6,235
0	STATE STREET BANK AND TRUST CO CUST	127.500	20.000	2,550
0	STATE STREET BANK AND TRUST CO CUST	140.000	20.000	2,800
0	STATE STREET BANK AND TRUST CO CUST	140.000	20.000	2,800
0	STATE STREET BANK AND TRUST CO CUST	400.000	20.000	8,000
0	BARBARA J HULL CUST	75.000	20.000	1,500
0	STATE STREET BANK AND TRUST CO CUST	100.000	20.000	2,000
0	STATE STREET BANK AND TRUST CO CUST	200.000	20.000	4,000
0	ROBERT HARTMAN	64.243	20.000	1,284
0	STATE STREET BANK AND TRUST CO CUST	3,295.885	20.000	65,917
0	ANN GREENWALD GILMORE	676.495	20.000	13,529
0	STATE STREET BANK AND TRUST CO CUST	150.000	20.000	3,000

1 PROCESS DATE 11/30/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

0	REGISTRATION	ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	FREDERIC T DUGDALE	200.000	20.000	4,000
0	KATHLEEN BILOWOS	90.000	20.000	1,800
0	JACOB M KRAFT CUST	30.278	20.000	605
0	LYN TAYLOR	8,516.911	20.000	170,338
0	DANIEL J MILTON	1,452.679	20.000	29,053
0	DAVID G BLAIR	25.000	20.000	500
0	INVESTORS FIDUCIARY TRUST CO TTEE	1,122.682	20.000	22,453
	THE SELIGMAN DATA CORP			
0	WILFRED I BUTTERFIELD III CUST	500.000	20.000	10,000

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	WILFRED I BUTTERFIELD III CUST	600.000	20.000	12,000
0	JAMES C YARWOOD	100.000	20.000	2,000
0	JOANNA BRAGDON CUST	155.000	20.000	3,100
0	ONNO PAUL DAAM	2,043.751	20.000	40,875
0	G GALT BREADY	3,431.797	20.000	68,635
0	WILLIAM A FISHER	1.194	20.000	23
0	RICHARD D ASHBY &	2,000.000	20.000	40,000
0	CHRISTOPHER WALZ CUST	279.882	20.000	5,597
0	WYNNE W GULDEN JR TR UA 12/13/91	1,156.909	20.000	23,138
0	WYNNE W GULDEN JR TR UA 12/13/91	1,156.909	20.000	23,138
0	LEZLEY TAYLOR	125.000	20.000	2,500
0	WARREN S WILCOX JR CUST	300.000	20.000	6,000
0	LYMAN W JEFFREYS TR UA 11/22/96	606.937	20.000	12,138
0	PEGGY A NEWELL &	100.000	20.000	2,000
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	ROBIN L KIRKPATRICK SR TTEE	75.000	20.000	1,500
0	SAANEN KERSON	1,025.305	20.000	20,506
0	ROBERTA JOAN DUBE	250.000	20.000	5,000
0	STEVEN M FELTS	20.922	20.000	418
0	ANNE HUNTER WHEELLOCK	90.000	20.000	1,800
0	LESLIE A BILLYMER	454.000	20.000	9,080
0	LINDA KALISH SORENSEN	200.000	20.000	4,000
0	KIES COMPANY INC	800.000	20.000	16,000
0	DAVID GOLDSTEIN	750.000	20.000	15,000
0	JAMES EDGAR NASH JR	387.308	20.000	7,746
0	ELEANOR SHUNAS EXEC	3,172.825	20.000	63,456
0	BENNETT P BOFFARDI EXEC	42.420	20.000	848
0	JARED LEWIN	436.257	20.000	8,725
0	JED LEWIN	436.257	20.000	8,725
0	ROBERT STEDMAN EXEC	1,562.238	20.000	31,244
		-----		-----
	PRICE DATE TOTALS	43,431.990		868,639
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	LAURENS N SULLIVAN JR &	407.368	19.950	8,126
0	JOSEPHINE B OSBORNE CUST	173.167	19.950	3,454
0	JOSEPHINE B OSBORNE CUST	173.167	19.950	3,454
0	MARIE H BLISS TR UA 11/4/88	250.000	19.950	4,987
0	WILLIAM V THOMSON &	5,579.301	19.950	111,307
0	JAMES W YOUNG &	1,022.537	19.950	20,399
0	CYNTHIA G JOHNSON CUST	50.000	19.950	997
0	MARY ELLEN B MACKAMAN CUST	50.125	19.950	1,000
0	MARY ELLEN MACKAMAN CUST	50.125	19.950	1,000
0	ROSS R GOLDWARE &	5,000.000	19.950	99,750
0	STATE STREET BANK AND TRUST CO CUST	608.145	19.950	12,132
0	BRUCE SILVEY SCHAFFER CUST	200.000	19.950	3,990
0	ERIC L LEWIS	150.376	19.950	3,000
0	BETHANY K FRAZIER	45.113	19.950	900
0	GEORGE E HLAVACS CUST	3,300.000	19.950	65,835
0	PAUL WINBIGLER	1,288.350	19.950	25,702
0	SHARON C MOLL CUST	398.034	19.950	7,940
0	OREM INC	3,000.000	19.950	59,850
0	STATE STREET BANK AND TRUST CO CUST	455.000	19.950	9,077

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

0	LAWRENCE A SPITALNY TR	921.046	19.950	18,374
0	BENJAMIN T IMIG	175.439	19.950	3,500
0	KENNETH D DEATON	204.549	19.950	4,080
0	SUZANNE SLESAR	50.125	19.950	1,000
1	PROCESS DATE	11/30/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0	REGISTRATION	ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	LEZLEY TAYLOR	150.376	19.950	3,000
0	PAUL H WINBIGLER	1,302.230	19.950	25,979
0	RICHARD S ALLEN TTEE	800.000	19.950	15,960
0	KATHERINE LEE HAMPTON CUST	501.253	19.950	10,000
0	KATHERINE LEE HAMPTON CUST	501.253	19.950	10,000
0	ERIC SLESAR	30.327	19.950	605
0	BRIAN K EGBERT	127.817	19.950	2,549
0	STATE STREET BANK AND TRUST CO CUST	150.376	19.950	3,000
0	STATE STREET BANK AND TRUST CO CUST	45.013	19.950	898
0	KENNETH ANDREW LARSON TTEE	751.880	19.950	15,000
0	RONALD E BURNS EXEC	1,145.697	19.950	22,856
0	RONALD E BURNS EXEC	1,145.697	19.950	22,856
		-----		-----
	PRICE DATE TOTALS	30,203.886		602,567
0		-----		-----
0	MONTH-END TOTALS	268,251.805		5,331,842
		=====		=====