

SYSCO CORP

Form 4

December 30, 2015

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Grade Joel T.

(Last) (First) (Middle)

1390 ENCLAVE PARKWAY

(Street)

HOUSTON, TX 77077

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

SYSCO CORP [SYY]

3. Date of Earliest Transaction  
(Month/Day/Year)

12/28/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

EVP and CFO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 12/28/2015                              |                                                             | M <sup>(1)</sup>                     | V Amount (A) or (D) Price<br>1,800 A \$ 27.44                           | 42,466.46                                                                                                          | D                                                                    |                                                                   |
| Common<br>Stock                       | 12/28/2015                              |                                                             | S <sup>(1)</sup>                     | 1,800 D \$ 41.3 <sup>(2)</sup>                                          | 40,666.46                                                                                                          | D                                                                    |                                                                   |
| Common<br>Stock                       | 12/28/2015                              |                                                             | M <sup>(1)</sup>                     | 36,000 A \$ 28.87                                                       | 76,666.46                                                                                                          | D                                                                    |                                                                   |
| Common<br>Stock                       | 12/28/2015                              |                                                             | S <sup>(1)</sup>                     | 36,000 D \$ 41.41 <sup>(3)</sup>                                        | 40,666.46                                                                                                          | D                                                                    |                                                                   |
| Common<br>Stock                       | 12/28/2015                              |                                                             | M <sup>(1)</sup>                     | 6,000 A \$ 27.65                                                        | 46,666.46                                                                                                          | D                                                                    |                                                                   |

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|                 |            |              |       |   |                    |           |   |
|-----------------|------------|--------------|-------|---|--------------------|-----------|---|
| Common<br>Stock | 12/28/2015 | <u>S</u> (1) | 6,000 | D | \$<br>41.26<br>(4) | 40,666.46 | D |
|-----------------|------------|--------------|-------|---|--------------------|-----------|---|

|                 |  |  |  |  |  |         |   |                              |
|-----------------|--|--|--|--|--|---------|---|------------------------------|
| Common<br>Stock |  |  |  |  |  | 288.082 | I | Held in<br>trust for<br>son. |
|-----------------|--|--|--|--|--|---------|---|------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of Shares |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------|
| Stock<br>Options<br>(Right to<br>buy)               | \$ 27.44                                                           | 12/28/2015                              |                                                             | M(1)                                 | 1,800                                                                                                        | (5) 11/09/2016                                                 | Common<br>Stock                                                     | 1,800                                  |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 28.87                                                           | 12/28/2015                              |                                                             | M(1)                                 | 36,000                                                                                                       | (5) 11/10/2017                                                 | Common<br>Stock                                                     | 36,000                                 |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 27.65                                                           | 12/28/2015                              |                                                             | M(1)                                 | 6,000                                                                                                        | (5) 11/14/2018                                                 | Common<br>Stock                                                     | 6,000                                  |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships                    |
|------------------------------------------------------------|----------------------------------|
|                                                            | Director 10% Owner Officer Other |
| Grade Joel T.<br>1390 ENCLAVE PARKWAY<br>HOUSTON, TX 77077 | EVP and CFO                      |

## Signatures

/s/Adam S. Skorecki, attorney  
in fact

12/30/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercises and sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 1, 2015.  
The price reported is a weighted average sale price per share of the 1,800 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$41.28 to \$41.32. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4.
- (2) The price reported is a weighted average sale price per share of the 36,000 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$41.27 to \$41.51. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4.
- (3) The price reported is a weighted average sale price per share of the 6,000 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$41.22 to \$41.28. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4.
- (4) Options are fully exercisable.
- (5) Options granted by the Compensation Committee of the Company's Board of Directors pursuant to the 2007 Stock Incentive Plan, as amended.
- (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.