

Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 3

PINNACLE WEST CAPITAL CORP  
Form 3  
June 29, 2001

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OMB APPROVAL  
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FORM 3  
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OMB Number 3235-0104  
Expires: December 31, 2001  
Estimated average burden  
hours per response ..... 0.5  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES  
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(f) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person

Hansen David A.  
-----  
(Last) (First) (Middle)

400 North First Street

-----  
(Street)

Phoenix AZ 85004  
-----  
(City) (State) (Zip)

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2. Date of Event Requiring Statement (Month/Day/Year)

6-20-01  
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3. IRS or Social Security Number of Reporting Person (Voluntary)

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4. Issuer Name and Ticker or Trading Symbol

Pinnacle West Capital Corporation (PNW)  
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5. Relationship of Reporting Person to Issuer (Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Vice President  
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6. If Amendment, Date of Original (Month/Year)

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7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

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FORM 3 (continued)

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form:		4. Nature of Indirect Beneficial Ownership (Instr.5)
		Direct (D) or Indirect (I) (Instr. 5)		
Common Stock	1,750	D		
Common Stock	3,553	I		by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II -- Derivative Securities Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr.5)
	Date Exercisable	Expiration Date			
Employee Stock Option (Right to Buy)	(1)	12-16-07	Common Stock 2,500	\$39.75	D
Employee Stock Option (Right to Buy)	(2)	11-17-08	Common Stock 2,500	\$46.78	D
Employee Stock Option (Right to Buy)	(3)	11-16-09	Common Stock 2,000	\$34.65	D
Employee Stock Option (Right to Buy)	(4)	11-14-10	Common Stock 3,250	\$44.03	D

Explanation of Responses:

- (1) The option became exercisable 1/3 of the grant per year beginning 12-17-98.
- (2) The option became exercisable 1/3 of the grant per year beginning 11-18-99.
- (3) The option became exercisable 1/3 of the grant per year beginning 11-17-00.
- (4) The option becomes exercisable 1/3 of the grant per year beginning 11-15-01.

David A. Hansen

6-29-01

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David A. Hansen

Date

\*\*Signature of Reporting Person

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).