#### Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

#### CHARTER COMMUNICATIONS, INC. /MO/

Form 4 May 08, 2012

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

OCM FIE, LLC

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

CHARTER COMMUNICATIONS,

INC. /MO/ [CHTR]

(Check all applicable)

(Last)

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 05/01/2012

Officer (give title

\_X\_\_ 10% Owner \_\_Other (specify

333 SOUTH GRAND **AVENUE, 28TH FLOOR** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

LOS ANGELES, CA 90071

(City)	(State) (2	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi nAcquired Disposed (Instr. 3,	l (A) o l of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	05/01/2012		A(1)	1,479	A	\$0	5,724	I	See footnote (2)
Class A Common Stock	05/01/2012		A(3)	1,479	A	\$ 0	7,203	I	See footnote (4)
Class A Common Stock							2,536	D (5)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
OCM FIE, LLC 333 SOUTH GRAND AVENUE 28TH FLOOR LOS ANGELES, CA 90071	X	X					
OAKTREE CAPITAL MANAGEMENT LP 333 SOUTH GRAND AVENUE 28TH FLOOR LOS ANGELES, CA 90071	X	X					
Oaktree Holdings, Inc. 333 SOUTH GRAND AVENUE 28TH FLOOR LOS ANGELES, CA 90071	X	X					
Oaktree Capital Group, LLC 333 SOUTH GRAND AVENUE 28TH FLOOR LOS ANGELES, CA 90071	X	X					
Oaktree Capital Group Holdings, L.P. 333 SOUTH GRAND AVENUE 28TH FLOOR LOS ANGELES, CA 90071	X	X					

Reporting Owners 2 Oaktree Capital Group Holdings GP, LLC 333 SOUTH GRAND AVENUE 28TH FLOOR LOS ANGELES, CA 90071

X X

# **Signatures**

See signatures included in Exhibit 99.1

05/08/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of Restricted Stock (price not applicable) to Bruce Karsh valued at \$90,000.00 on date of grant, to fully vest on anniversary date of grant.
- Bruce Karsh is the President of Oaktree Capital Management, L.P. ("Oaktree"), a registered investment adviser under the Investment

  Advisers Act of 1940, as amended. These shares of Class A Common Stock are held directly by Bruce Karsh for the benefit of OCM FIE,

  L.L.C. ("FIE"), a wholly owned subsidiary of Oaktree. Pursuant to the policies of Oaktree, Bruce Karsh must hold these shares of Class A

  Common Stock on behalf of and for the sole benefit of FIE and is assigning all economic, pecuniary and voting rights to FIE.
- (3) Grant of Restricted Stock (price not applicable) to Edgar Lee valued at \$90,000 on date of grant, to fully vest on anniversary date of grant.
  - Edgar Lee is a Senior Vice President of Oaktree. A registered investment adviser under the Investment Advisers Act of 1940, as amended. These shares of Class A Common Stock are held directly by Edgar Lee for the benefit of FIE. Pursuant to the policies of
- Oaktree, Edgar Lee must hold these shares of Class A Common Stock on behalf of and for the sole benefit of FIE and is assigning all economic, pecuniary and voting rights to FIE.
- (5) Represents shares of Class A Common Stock that were previously held directly by Bruce Karsh for the benefit of FIE. Such shares have since been transferred to FIE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3