Kroll Teresa Form 4 November 04, 2004

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Kroll Teresa Issuer Symbol **BUILD A BEAR WORKSHOP INC** (Check all applicable) [BBW] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O BUILD-A-BEAR 11/02/2004 Chief Marketing Bear WORKSHOP, INC., 1954 INNERBELT BUSINESS CENTRE **DRIVE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

ST. LOUIS, MO 63114

Form filed by More than One Reporting

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or		d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/02/2004		C	4,154	A	( <u>1</u> )	4,154	I	Clark/Fox, L.L.C.		
Common Stock	11/02/2004		C	4,816	A	<u>(1)</u>	8,970	I	Clark/Fox, L.L.C.		
Common Stock	11/02/2004		C	1,465	A	<u>(1)</u>	10,435	I	Clark/Fox, L.L.C.		
Common Stock	11/02/2004		C	1,701	A	<u>(1)</u>	12,136	I	Clark/Fox, L.L.C.		

### Edgar Filing: Kroll Teresa - Form 4

4,971 D \$ 7,165 Common Clark/Fox, Ι 11/02/2004 S Stock L.L.C.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A-5 Convertible Preferred Stock	<u>(3)</u>	11/02/2004		C	3,187	<u>(2)</u>	<u>(2)</u>	Common Stock	4,154
Series B-4 Convertible Preferred Stock	<u>(4)</u>	11/02/2004		C	4,816	<u>(2)</u>	(2)	Common Stock	4,816
Series D-1 Convertible Preferred Stock	<u>(5)</u>	11/02/2004		C	1,221	<u>(2)</u>	(2)	Common Stock	1,465
Series D-2 Convertible Preferred Stock	<u>(6)</u>	11/02/2004		С	1,430	(2)	(2)	Common Stock	1,701

# **Reporting Owners**

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other

Chief Marketing Bear

2 Reporting Owners

Kroll Teresa C/O BUILD-A-BEAR WORKSHOP, INC. 1954 INNERBELT BUSINESS CENTRE DRIVE ST. LOUIS, MO 63114

# **Signatures**

/s/ Tina Klocke, Attorney-in-fact for Teresa Kroll

11/04/2004

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Price is not applicable to conversion of preferred stock to common stock.
- (2) Convertible upon demand. All shares of preferred stock will convert automatically upon consummation of the Company's initial public offering.
- (3) 1.303334-to-1.
- (4) 1-to-1.
- (5) 1.188825-to-1.
- (6) 1.1831018-to-1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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