

EBSWORTH BARNEY A

Form 4

November 04, 2004

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EBSWORTH BARNEY A

2. Issuer Name **and** Ticker or Trading  
Symbol

BUILD A BEAR WORKSHOP INC  
[BBW]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

4053 HUNTS POINT ROAD

(Street)

HUNTS POINT, WA 98004

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)

11/02/2004

4. If Amendment, Date Original  
Filed(Month/Day/Year)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					84,791	I	The Barney A. Ebsworth Living Trust dated July 23, 1986.
Common Stock	11/02/2004		C		1,483,059	A	<u>11</u> 1,567,850
						I	The Barney A. Ebsworth Living Trust

Common Stock	11/02/2004	C	164,715	A	<u>11</u>	1,732,565	I	dated July 23, 1986.
Common Stock	11/02/2004	C	190,963	A	<u>11</u>	1,923,528	I	The Barney A. Ebsworth Living Trust dated July 23, 1986.
Common Stock	11/02/2004	C	911,383	A	<u>11</u>	2,834,911	I	The Barney A. Ebsworth Living Trust dated July 23, 1986.
Common Stock	11/02/2004	S	992,220	D	\$ 18.6	1,842,691	I	The Barney A. Ebsworth Living Trust dated July 23, 1986.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares

Series A-1 Convertible Preferred Stock	<u>(3)</u>	11/02/2004	C	1,137,898	<u>(2)</u>	<u>(2)</u>	Common Stock	1,483,
Series A-5 Convertible Preferred Stock	<u>(4)</u>	11/02/2004	C	126,380	<u>(2)</u>	<u>(2)</u>	Common Stock	164,7
Series B-4 Convertible Preferred Stock	<u>(5)</u>	11/02/2004	C	190,963	<u>(2)</u>	<u>(2)</u>	Common Stock	190,9
Series C-2 Convertible Preferred Stock	<u>(5)</u>	11/02/2004	C	911,383	<u>(2)</u>	<u>(2)</u>	Common Stock	911,3

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EBSWORTH BARNEY A 4053 HUNTS POINT ROAD HUNTS POINT, WA 98004			X	

## Signatures

/s/ John Burtelow, Attorney-in-fact for Barney A.  
Ebsworth

11/04/2004

                    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Price is not applicable to conversion of preferred stock to common stock.

## Edgar Filing: EBSWORTH BARNEY A - Form 4

- (2) Convertible upon demand. All shares of preferred stock will convert automatically upon consummation of the Company's initial public offering.
- (3) 1.30333-to-1.
- (4) 1.30334-to-1.
- (5) 1-to-1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.